

# VPFIT 9.5

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## Contents

<b>1</b>	<b>Introduction</b>	<b>4</b>
1.1	General terms of use . . . . .	4
<b>2</b>	<b>Acknowledgements</b>	<b>4</b>
<b>3</b>	<b>Obtaining the program</b>	<b>5</b>
3.1	Download source . . . . .	5
3.2	Compiling and linking . . . . .	5
<b>4</b>	<b>Spectral data file format</b>	<b>6</b>
4.1	FITS files . . . . .	6
4.2	FITS data header . . . . .	8
4.3	ASCII file format . . . . .	8
4.4	Special data formats . . . . .	9
<b>5</b>	<b>Dealing with data problems</b>	<b>10</b>
5.1	Changing data error estimates . . . . .	10
5.2	Rescaling error estimates . . . . .	10
5.3	Bad pixels . . . . .	11
<b>6</b>	<b>Getting started</b>	<b>12</b>
6.1	Before starting . . . . .	12
6.2	Interactive mode . . . . .	12
6.2.1	Single region . . . . .	12
6.2.2	Multiple regions . . . . .	18
6.3	Running from file . . . . .	22
6.4	Optional parameters . . . . .	23
6.5	Use for fine structure constant . . . . .	25
6.6	Velocity precision from a portion of spectrum . . . . .	26
<b>7</b>	<b>Setup parameter file</b>	<b>28</b>
7.1	Tied parameter letter . . . . .	28
7.2	Parameter limits . . . . .	29
7.3	Miscellaneous . . . . .	31
7.3.1	Stopping criteria . . . . .	31

7.3.2	Fixed flag . . . . .	31
7.3.3	Progress monitor . . . . .	31
7.3.4	Internal variable scaling . . . . .	31
7.4	Line parameters . . . . .	32
7.4.1	Guess line . . . . .	32
7.5	Internal variables . . . . .	32
<b>8</b>	<b>Spectral resolution</b>	<b>33</b>
8.1	Resolution in FITS data header . . . . .	33
8.2	Specifying resolution in startup files . . . . .	36
<b>9</b>	<b>Output</b>	<b>38</b>
9.1	Screen output . . . . .	38
9.2	Summary output . . . . .	39
9.3	Looking at the fit results . . . . .	40
<b>10</b>	<b>Subpixel profiles</b>	<b>42</b>
<b>11</b>	<b>Fixed &amp; tied parameters</b>	<b>43</b>
11.1	Fixed parameters . . . . .	43
11.2	Tied parameters . . . . .	43
11.3	Temperature estimation . . . . .	44
11.4	Summed column densities . . . . .	45
11.5	Common pattern relative ion abundances . . . . .	46
<b>12</b>	<b>Higher accuracy Voigt profile</b>	<b>48</b>
<b>13</b>	<b>Reliability of parameter and error estimates</b>	<b>48</b>
<b>14</b>	<b>Atomic data</b>	<b>50</b>
14.1	File format . . . . .	50
14.2	Special ions . . . . .	50
14.2.1	Unidentified lines . . . . .	51
14.2.2	Region wavelength shifts . . . . .	51
14.2.3	Continuum adjustment . . . . .	52
14.2.4	Zero level adjustment . . . . .	53
14.3	Extra parameters . . . . .	54
<b>15</b>	<b>Fitting simulated data</b>	<b>55</b>
15.1	Single wavelength region fits . . . . .	55
15.1.1	Single file multiple simulations . . . . .	58
15.2	Multiple wavelength regions . . . . .	60
15.2.1	Single ions . . . . .	60
15.2.2	Two ions . . . . .	61
<b>16</b>	<b>Fitting the Ly<math>\alpha</math> forest</b>	<b>62</b>

<b>A Ancillary programs</b>	<b>65</b>
A.1 FITS header editing . . . . .	65
<b>B Rebinning and combining spectra</b>	<b>66</b>
B.1 Error estimates . . . . .	66
B.2 Biases in combined datasets . . . . .	68
B.3 Suitable weights for combining spectra . . . . .	71
B.4 Wavelength bias . . . . .	72

# 1 Introduction

The VPFIT program enables you to fit multiple Voigt profiles (convolved with the instrument profiles) to spectroscopic data. If you don't want to do this, read no further. If you want to display data, play around with data and models, try " $\chi^2$ -by-eye" fits, display the result of a proper fit, do pretty plots, etc., you might prefer a program written by Joe Liske called vpguess (see <http://www.eso.org/~jliske/vpguess/>). The vpguess program can also be used to provide initial estimates for the VPFIT program. This description is evolving. It started out as a a brief reference for the bits which are changing, but some cutting and pasting from the original descriptions (usually with minor changes) has also occurred. So it is uneven, and in places is probably totally obscure.

There is also information at <http://www.ast.cam.ac.uk/~rfc/vpfit.html>. Ultimately this should include all of what in the various pages on that website, but that is not yet the case.

## 1.1 General terms of use

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## 2 Acknowledgements

The development of VPFIT would not have been possible without major contributions from Andrew Cooke, Mike Irwin, Julian King, Joe Liske and Michael Murphy. We are very grateful for all their work. We also thank the many others have also helped with suggestions, comments, questions, etc.

## 3 Obtaining the program

### 3.1 Download source

Inevitably, the VPFIT program evolves, and you should make sure the description you are using applies to the version you have. This description applies to the version in the anonymous ftp space pub/rfc on ftp.ast.cam.ac.uk as `vpfit $nnn$ .tar.gz`, where  $nnn$  is the version number as given on the first page of this document. If it is not there, then (unusually) the documentation is ahead of the adequately working version of the program, or this is an old document and you should be looking at a more recent one. For those who still have older versions of the program an earlier description may be more appropriate. Hopefully things should generally be upward compatible through versions, so anything which works on an older one should work with a later version, and give close to the same answers. The tarfile also contains the RDGEN program for general spectral plotting, modifying, visualizing and interfacing with VPFIT. It is just a collection of routines which have been found to be useful. If you don't want it ignore it, otherwise try looking at the rudimentary documentation.

### 3.2 Compiling and linking

After you gunzip and extract the tarfile, you will need to edit the makefile to reflect where the PGPLOT and CFITSIO libraries are held. Since different incantations are used in different places to access these the makefile shows the IoA version (where PGPLOT can migrate, but is aliased), the ESO version (now somewhat dated, where PGPLOT and CFITSIO are in /scisoft), and a linux version where they are in some arbitrary area. Thus, at least on the machines I use 'make vpsol' makes a Solaris version on the IoA cluster, 'make vpfit' used to make the same thing at ESO, and 'make vpflix' makes the Linux version. You may need to change the various system libraries in the makefile to reflect what is normally used for a Fortran compilation at your site. No other external libraries are needed. For RDGEN 'make rdsol', 'make rdgen' and 'make rdglx' give the corresponding versions.

Makefile will yield the executable code (after you get it right). At this stage it is worth trying to run it just to see if there are any problems, like the instant response: 'vpfit: Not enough memory'. If this happens, then increase your swap space. Or you could edit the 'vp-sizes.f' file to have smaller array sizes - in the default version they are large enough to handle most things I am aware of. In particular the data arrays are 208000 pixels long, which hopefully is enough. If you do change 'vp-sizes.f' then you should 'touch \*.f' before running the makefile, to ensure that all routines are recompiled (some systems take care of this automatically, and some don't).

The default version uses fast-math (see makefile listing), and this has occasionally caused problems. fast-math can be replaced by O5 if you experience difficulties, and if the fast-math and O5 versions produce different results, then it is possibly best to stick to the -O5 version. From the limited tests which have been done, the -O5 version might overestimate the errors in difficult cases (see Section 13), so is conservative in that respect.

## 4 Spectral data file format

### 4.1 FITS files

Spectral data for VPFIT is normally expected to be in the form of FITS files containing the wavelengths, flux values, flux error estimates, and continuum values. Some of these can be omitted if appropriate FITS header information is in the data file, as detailed below. Various header items are may be required, depending on the data format and how the wavelength scale has been set up.

The data files which are needed are:

- The spectrum itself may be in a FITS file, in 1-D or echelle format, as `<file>.fits` or as an old-style IRAF file, `<file>.imh` and `.pix`.
- The  $1\text{-}\sigma$  error array must be available, and may also be in a FITS file. It is identified by the header entry `SIGFILE` in the FITS header for the spectrum file, which we here call `<NAME>.fits`. If that is absent, then the program tries looking for `<NAME>.var.fits`, `<NAME>.sig.fits`, `<NAME>.err.fits` and using whichever it finds first before giving up and prompting.

If you don't have an error file, then a carriage return (denoted `<CR>`) response to the prompt here results in  $\sigma_i = \sqrt{\max(d_i, 0.2\bar{d})}$ , where  $\sigma_i$  is then used as the error estimate at pixel  $i$ ,  $d_i$  is the data for the  $i$ th pixel, and  $\bar{d}$  is the mean value over all pixels. This is not desperately useful, but is better than nothing in the sense that not all the data will then be ignored. If the error file is absent, you will have to be very lucky (or unlucky) to get  $\chi^2$  values which look at all sensible. There is no provision for a quality array, but bad data pixels may be flagged by giving them a negative error (or variance), and the corresponding data is then ignored entirely.

- The continuum estimate specified by `CONTFILE` in the spectrum FITS header, or, if that is absent, `<NAME>.cont.fits`. The program prompts if that fails, and a `<CR>` response to the prompt gives a unit continuum (useful for renormalized data). If you have normalized your data to the continuum, then `CONTFILE` set to 'unity' (or 'UNITY') stops that prompt, and gives unit continuum (so don't call a data file 'unity', it will never find it).
- Much of the spectral data to be fitted is the sum of several exposures which have been taken at different times, and so possibly with different heliocentric corrections to the wavelength scale. In such cases it is common practice to sum the data onto a common wavelength scale, interpolating the data from each exposure on to a common set of data bins. This inevitably results in some smoothing of the data, and so the root-mean-square noise (RMS) fluctuations are usually smaller than one would expect from the the data error estimates. If the error estimates based on independent data pixels are used the resultant  $\chi^2$  is smaller than it should be. In some sense this does not matter, since if you are aiming for a normalized  $\chi^2 \sim 1$  all you are doing is assuming that the S/N is worse than it really is. However it does mean that you are not making the best use of the data you have.

A way of dealing with this problem is to provide a separate file of expected RMS values which has been created from the  $1\text{-}\sigma$  error estimates from the raw data files, using knowledge of the interpolation onto the chosen wavelength scale. If such a file exists, it is specified by the data file header item RMSFILE, or, if that item is absent, <NAME>.rms.fits is used (if present). The RMS values are appropriate for the computation of the effective  $\chi^2 = \sum (d_i - f_i)^2 / s_i^2$ , where  $f_i$  is a fit value at  $i$ , and  $s_i$  the RMS estimate there. Again this is not quite right, since neighbouring points are now correlated. However, if there are a sufficiently large number of pixels in the fit region(s) then it provides a reasonably good approximation.

The  $1\text{-}\sigma$  error file is still needed - it provides the normalization for the parameter error estimates which VPFIT gives. It is also the file which contains the appropriate quantities for inverse variance weighting when combining datasets, and so is the one which is usually provided by data extraction packages.

If there is no RMS file available, then the  $1\text{-}\sigma$  error estimates are used.

Most standard publicly-available packages do not provide this file, but it can be generated. See appendix B for a description of what is needed. The program has been tested in this mode using data from Michael Murphy's UVES\_popler (post-pipeline echelle reduction) package, which incorporates the methods described in appendix B.

For those who are concerned about correlated pixels and  $\chi^2$ , rest assured that you are not the only ones. You can test that what has been here is at least reasonable by making an artificial spectrum with independent noise in each of the pixels and then rebinning e.g. with a half-pixel shift, so that each of the new pixels is an average of the old ones, so the apparent noise goes down by  $\sqrt{2}$ . You will then find, not surprisingly, that the normalized  $\chi^2$  for fluctuations about the continuum level with the original error array goes from  $\sim 1$  to  $\sim 0.5$ . You can put in absorption lines to fit and find the same result of course. The RDGEN package contains some facilities for generating such spectra if you want to try it.

- The wavelengths associated with each pixel can be specified in a variety of ways, either in the FITS header for the data as wavelength coefficients, or as a table in a separate FITS file. In more detail these are:
  - A FITS file of wavelengths, one per spectral data pixel. The header item WAVFILE in the FITS spectrum file gives the filename, or, if that is absent then <NAME>.wav.fits is used if it is present.
  - For a linear wavelength scale the base wavelength and increment can be specified in the header. See section 4.2
- Spectral resolution information may be specified as a header item (RESVEL [fwhm in km/s]), or in a file in a subdirectory 'database/' of the data directory which is picked up from the header as well (RESFILE filename). Pixel-based instrument profiles are also catered for. The various options are described in section 8.

## 4.2 FITS data header

The FITS header for the spectral data file will usually contain the standard items, e.g.

SIMPLE	T	file does conform to FITS standard
BITPIX	-32	number of bits per data pixel
NAXIS	1	number of data axes
NAXIS1	38528	length of data axis 1
EXTEND	T	FITS dataset may contain extensions

If the wavelength scale is linear then the coefficients may also be in the header

CRPIX1	1	Reference pixel
CRVAL1	3050.	Central wavelength of that pixel
CD1_1	0.05	Wavelength increment per pixel
DC-FLAG	0	0=linear, 1=log wavelengths

or, if you have equal log wavelength intervals, then you might have

CRPIX1	1	Starting pixel (1-indexed)
DC-FLAG	1	Linear=0, Log-linear=1
CRVAL1	3.51665385452534	log10 Central wavelength first pixel
CD1_1	3.62161104717198E-06	log10 increment per pixel

There have been various formats for wavelength information in various IRAF packages over the years. Most are recognized, and if you come across one which is not then you'll soon know, most likely when the program asks for wavelength information.

Other header items might be:

AIR

.. if this is present then air wavelengths are assumed, and a conversion is performed to vacuum within VPFIT.

VHELIO value Apply heliocentric correction (value) to wavelengths

These are rarely used, and have not been rechecked for a while. To be sure of what you are doing it is probably best to apply any such corrections to the data before entering VPFIT.

## 4.3 ASCII file format

The data may be in an ASCII table consisting of up to five columns of ascending wavelength, spectrum, error estimate, continuum and fluctuation estimate. It is assumed that the wavelengths are vacuum, heliocentric corrected, and any scaling of the error estimate has been applied. You can specify the spectral resolution by inserting a line 'RESVEL nnn' anywhere in the file, where nnn is the FWHM in km/s. The input terminates on detecting the end-of-file. Sample input might look like:



```

# Pks0237-233 subset
RESVEL 6.6
4990.  0.946703 0.03102309
4990.04 0.9681857 0.03154853
4990.08 0.9858243 0.03178264
4990.12 0.9543419 0.03165093
4990.16 0.918863 0.03112572
4990.2  0.9460486 0.03140076
4990.24 0.9262011 0.03116661
4990.28 0.9371514 0.03115548
4990.32 0.9425622 0.03101928
.....

```

Note that this example has only three columns. If the continuum column is blank, then the continuum is taken to be unity, and fluctuations = error.

Comment lines can be present also. Any lines starting with ‘!’ or ‘#’ are ignored, so any details you want may be included.

IMPORTANT: If you are using this option, it is vital to make sure that the wavelength array length is large enough to hold a table of wavelengths. Under normal circumstances this will be true, but if the wavelength space has been restricted you may have to change it. Edit `vp_sizes.f` before compiling so that the last line of the section

```

* MAXimum Wavelength Coefficient Order
* This is also the array size for wavelength tables, so should be
* the same maxfis if that is the way the wavelengths are stored.
  integer maxwco
  parameter ( maxwco = 30 )

```

becomes

```

  parameter ( maxwco = 125000 )

```

(where 125000 is an example maxfis).

FURTHER WARNING: With wavelength tables like this you could in principle store things in any order. Please don’t - the program assumes an ordered sequence of increasing wavelengths. The other thing you could do is have large gaps in the data, like finish at 5000 Å and restart at 8000 Å because you don’t see any point in keeping information in between. This is not handled at all either, and it is better to keep the data as separate files. VPFIT is fine with files from all over, but does not handle gaps at all well (since in generating narrow profiles it resamples the pixels to make the Voigt profile, and assumes slow changes in pixel width as you go from one pixel to the next, estimating the width by looking at the wavelength midpoints between pixel centres).

#### 4.4 Special data formats

FITS data files written by Michael Murphy’s UVES\_popler (post-pipeline echelle reduction) package are recognized and read. These files contain the spectrum (normalized to a unit continuum), error estimate and RMS fluctuation estimate.

See [http://www.ast.cam.ac.uk/~mim/UVES\\_popler.html](http://www.ast.cam.ac.uk/~mim/UVES_popler.html) for more details.

## 5 Dealing with data problems

### 5.1 Changing data error estimates

A feature (?) of the current UVES pipeline is that the error estimates in the data at the bottoms of saturated absorption lines are too low by a factor of roughly 2. Consequently, even if the zero level is correct, or corrected (it is normally too high by something up to about 2% of the continuum, but it is wavelength dependent), a satisfactory  $\chi^2$  will never be reached when using VPFIT on these features because the fluctuations in the bases of the lines are significantly larger than the error estimates.

RDGEN has an option for modifying the error arrays to avoid this problem, and writing out the results for use with VPFIT. See the RDGEN description for details. The approach is not based on any analysis of the expected errors - all it does is take a function which is roughly 2 where the signal is near zero, and roughly 1 where the data is close to the continuum, and multiply the error arrays by this function.

The cursor mode plotting package in RDGEN also has options for modifying the error estimates (and data, continuum...) on a general or pixel-by-pixel basis. Again the details are given in the RDGEN document.

### 5.2 Rescaling error estimates

Unless you have access to some package which produces estimates for the fluctuations in the rebinned data as well as estimates for the S/N based on photon counts, you will probably need to adjust the error array associated with the data array if you want to obtain normalized  $\chi^2$  values  $\sim 1$  for an appropriate fit. You can do this by (in order of precedence):

- Set up a file with the expected data fluctuations, pixel by pixel, as described in section 4.1. UVES\_popler (Section 4.4) does this automatically.
- Set up an ASCII file of wavelengths and data  $\sigma$ /fluctuation values in the subdirectory 'database' relative to the location of the data, e.g. 'sig scales.scl'. Insert into the FITS header for the data

```
SCLFILE sigscales.scl
```

and VPFIT will pick up the values and adjust the data  $\sigma$  array by interpolating on these factors.

The format is wavelength, scalefactor, anything you like (since only the first two numbers are read) on each line, with fields separated by one or more spaces. Leading spaces are ignored. So the contents might look like

```
3300.0 1.35
  3600.00      1.3
  3888.0 1.25
  4500.10    1.3  0.996812    1.66707E-02    2.167191E-02
6800.0 1.25
```

VPFIT then wavelength interpolates these values and divides them into the data  $1\text{-}\sigma$  estimates to generate an array of expected fluctuations, pixel by pixel, and uses these to generate the  $\chi^2$  for the difference between the data and the Voigt profile fit. For wavelengths outside the range in the file, the nearest scale value is used.

If the FITS header contains the SCLFILE field, and the file itself is not present, then a single scalefactor is prompted for and used for the whole wavelength range.

If a data fluctuations file (datafilename).rms.fits exists, or a file of a different name is indicated by RMSFILE in the FITS data header, then the SCLFILE pointer is ignored and the fluctuations file is used.

- In the VPFSETUP file (see section 7) the line

```
sigscale 1.3
```

will result in a single scalefactor (1.3) being used to generate estimated fluctuations from the  $\sigma$  values by *dividing* by 1.3 for the whole wavelength range. This applies ONLY if neither of the options described above are used.

- You can apply a global correction to the error array in RDGEN using

```
em 1.25
```

which results in the whole error array being *multiplied* by 1.25. You will then need to write the results out as a .fits or ascii file for use by VPFIT. This last one is useful particularly for datasets where the error estimates provided by the extraction package are wrong, though you do need to know what they should be!

### 5.3 Bad pixels

These are flagged by negative or zero values of either the data error or, if present, the fluctuation estimates (i.e. expected RMS values). You will need to edit the  $\sigma$ -values if there are bad pixels. All pixels flagged in this way are ignored.

## 6 Getting started

### 6.1 Before starting

Before starting VPFIT needs to know where the atomic data is held. This is done via an environment variable `ATOMDIR`, which gives the path and filename to the relevant file (see Section 14) e.g. by

```
setenv ATOMDIR '/home/userid/vpfit/atom.dat'
```

or wherever you've put it, and whatever you've called it. You should do this before starting VPFIT.

You might like to change the plot colours so that data, error and continuum may be distinguished as well. This can be done at display time, but if you

```
setenv VPFLOTS '/home/userid/vpfit/vp_splot.dat'
```

before starting, then for every plot this file is read and used to reset colours. The options for the `pl` command in `RDGEN` are supported (see `RDGEN` description), though since VPFIT holds only the subsets of the data it needs for fitting not the range options are not generally useful as presets. It uses `PGPLOT` colour indices, so (against a black background) for white data, a green continuum, red error, light blue tick marks and the data plotted as histograms rather than curves it could contain

```
at co co 3
at er co 2
at ti co 5
at da type hist
```

You can also reset e.g. the continuum style to dot-dash lines by `at co st 3` there if you want to. With these you should be able to get a reasonably clear display of the fit to the data.

There are various other parameters to VPFIT which can be changed by using a setup file associated with the environment variable `VPFSETUP`. These are probably best left alone if you are an absolute novice in the use of VPFIT, but you will probably want to change some things later. They are described in Section 7.

### 6.2 Interactive mode

There is an interactive mode for setting up initial guesses, which can be bypassed if you have selected fit regions and some rough guesses from some other program. This can be done more flexibly using `RDGEN`, which comes with the VPFIT tarfile. A partial description of `RDGEN` is provided as a separate document.

#### 6.2.1 Single region

After starting VPFIT and its general preamble you will see a list of options, followed by a `>` where the program awaits a response. As anywhere in the program, defaults are given in square brackets - these are the values taken if a carriage return is used.

```

options:  <CR> for previous value
I - interactive setup and fit
F - run from an input file
D - display profiles from input file
? for help
option (key) (key)...
> i
Column density (n), logN (l) or emission (e), scalefactor [1, 1.0]
>

filename      :

spectrum number:  1
  Filename for data?
> sample.txt
...

```

The `i` is for entry into interactive setup mode, a carriage return at the second `>` means it will work in log column densities, and the filename for the data is here `sample.txt`. An ASCII data file which is provided in gzipped form with this description, so you can try a few things for yourself if you want to. Note that you are unlikely to get exactly the same results since you will almost certainly choose slightly different wavelength region limits. You are also unlikely to provide the same initial guesses, so the convergence history will also be a bit different.

This spectrum is read in, and then you have to isolate fitting regions and provide starting estimates:

```

Plot? [y]
>
plot parameter? (type h for options list)
>

PGPLOT device? [/xwindow]
>
Expand plot if needed:
Cursor ("e" or left button at edges,
"q" or right button when OK)
Cursor ("e" edges, "q" OK)

```

In interactive mode it would be bizarre indeed to answer 'no' to the first question, but in multiple cases it sometimes saves replotting.

The first 1000 or so pixels are now plotted in a separate window, so you should see something like that shown in Fig 1.

Control is now transferred to the plot window. There are more commands than the short list given for isolating the part(s) of the spectrum you are interested in - just type '?' to get a list. They are:

```

Left mouse button, or "e", expands plot
Center button, or "r" replots
Right button, or "q" to exit
"." shift range up

```

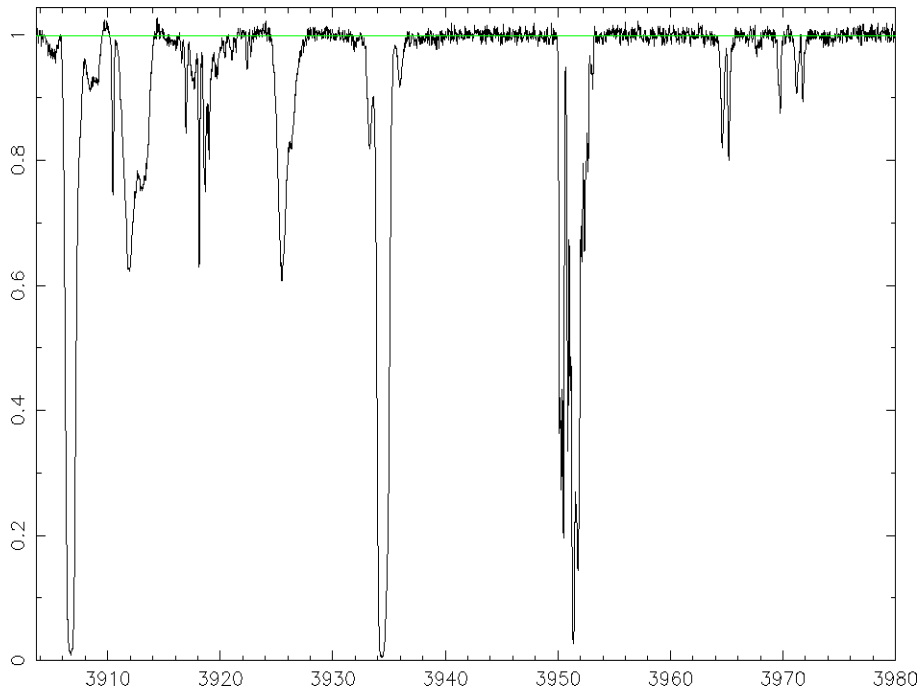


Figure 1: A sample spectrum using VPFIT interactive mode. The green line is the continuum level.

```

"," shift range down
"a" plot whole array
"d" demagnify by factor 2
"y" max y from cursor
"Q" abandon this region
.. any other lower case letter for command line prompt

```

You can now isolate the feature of interest. For example, the redshifted Ly $\alpha$  at 3926 A could be isolated by clicking the left mouse button with the cursor at 3923 A, and then again at 3929 A. The y-position does not matter (provided of course that the cursor is in the data plot window!). The dialogue is then

```

Cursor ("e" edges, "q" OK)
Channel 590
right edge..
Channel 775
Cursor ("e" edges, "q" OK)

```

When you are happy that the region displayed contains the region you want to fit over, click on the right mouse button (or type 'q'), and then you get

```

Mark region in which data is to be fitted:
left limit (space, or left button, when ready)
right limit
Region limits: 3923.500A (channel 605) - 3928.508A ( 757)
Channels 605 757
Vacuum wavelength for start of chunk is 3923.50933

```

```
Line 1 :ion, lamda0, N,b,z? <CR> to end
.. in the graphics window
```

This gives you the fitting region limits, and asks what lines you want to put there. You can enter parameters in the order listed by hand if you wish, but any information which is missing may be entered by using the cursor. So, still with the cursor in the data window, enter e.g.

```
HI 1215
```

and the response is

```
Wavelength used: 1215.6701
set cursor x- wavelength, y- base of feature
```

and click the left button on the mouse. The x-position of the cursor is taken as the observed wavelength for the line center so the (initial) redshift is determined, and the y-position provides a line center optical depth estimate when its value is compared with the continuum value for that point. The cursor then moves up to an estimated half optical depth level, with

```
.. and now half width at half (optical) depth
```

and you should then go to either one side of the line or the other and click again to give it an estimate for the line width. You then get log column density, Doppler parameter and redshift estimates, and a chance to put in more lines, so the text screen output with line IDs etc from the plot window might look like:

```
Estimated vac wavelength & z are 3925.49121 2.22907606
13.3632225 36.1756785 2.22907606
Line 2 :ion, lamda0, N,b,z? <CR> to end
.. in the graphics window
```

```
HI
```

```
Wavelength used: 1215.6701
set cursor x- wavelength, y- base of feature
.. and now half width at half (optical) depth
Estimated vac wavelength & z are 3926.31006 2.22974963
12.8688193 28.9873807 2.22974963
Line 3 :ion, lamda0, N,b,z? <CR> to end
.. in the graphics window
```

```
HI
```

```
Wavelength used: 1215.6701
set cursor x- wavelength, y- base of feature
.. and now half width at half (optical) depth
Estimated vac wavelength & z are 3926.97363 2.23029548
12.1335024 26.5826797 2.23029548
Line 4 :ion, lamda0, N,b,z? <CR> to end
.. in the graphics window
```

```
Include other data? y, n (def),
(or sf for more from the same file)
```

```
>
```

The carriage return terminates the process, and returns control to the text window. The wavelengths need not be entered accurately - VPFIT chooses the nearest wavelength in the atomic data table (see section 14). If you neglect to give a wavelength at all, it chooses the first one in the list for the given ion. Also, elements with single letter names, like hydrogen, need not have a space between the element and the ionization - 'HI' and 'H I' are equally acceptable.

There is another minor short-cut. Entering '\*' instead of 'HI' just repeats the last one entered, so the second and third ion/wavelength entries could equally well have been just \*.

Note also that if you try to type the line parameters or ID's in the VPFIT command window, as opposed to the PGPLOT window, the program will ignore them as line ID's and try to save them as commands. This is not useful, and can be confusing. It was done this way so that you don't have to oscillate between windows, as well as keyboard/mouse, when entering in a number of lines. What you type is reflected in the command window so you can see what you are typing. The backspace or del keys can be used to correct mistakes, though if you do use these then the ID line being typed goes on to a new line in the command window .. so the last line you see there is the one which is being entered as the line ID, preceded by a history of your mistakes which are ignored!

Carrying on with this example, if you don't want to include other regions in the fit just type 'n' to the 'Include other data?' question, and the program will now iterate to determine the best fit Voigt profile parameters for the three components if you tell it to:

```
> n
  Continue (c), fit (f), or stop (s)? [f]
> f
  no. of ions for fitting is 3

  ion          N          z          b          bturb  temp

iteration   :   0 ( 1 )
chi-squared :          2.358 (          339.5488,   144 )

  H I      13.36322    2.2290761    36.1757    0.00  0.00E+00  0 !   1
  H I      12.86882    2.2297496    28.9874    0.00  0.00E+00  0 !   2
  H I      12.13350    2.2302955    26.5827    0.00  0.00E+00  0 !   3

iteration   :   1 ( 1 )
chi-squared :          1.067 (          153.6667,   144 )

  H I      13.35395    2.2290686    35.9745    0.00  0.00E+00  0 !   1
  H I      12.82776    2.2297712    30.4385    0.00  0.00E+00  0 !   2
  H I      12.10340    2.2303048    28.7790    0.00  0.00E+00  0 !   3

.....

iteration   :   8 ( 1 )
```



```

chi-squared :          0.971 (          139.7969,   144 )

  H I    13.36824    2.2290807    37.1597    0.00  0.00E+00  0 !   1
  H I    12.68014    2.2297723    25.4604    0.00  0.00E+00  0 !   2
  H I    12.41261    2.2301257    41.7090    0.00  0.00E+00  0 !   3

```

Parameter errors:

```

  H I    0.00619    0.0000046    0.5395    0.00  0.00E+00    !   1
  H I    0.29051    0.0000221    4.5144    0.00  0.00E+00    !   2
  H I    0.56100    0.0004240    24.5479    0.00  0.00E+00    !   3

```

statistics for whole fit:

```

Runs test  K-S test  Chi-squared  Chans  ndf   APr   Xp(.68) Xp(.95) Xp(.99)
0.00044   0.04271   139.80   153  144   0.583  151.57  172.72  185.69

```

Statistics for each region :

```

  Start      End      Chi-squared  Chans  df?
3923.18  3928.81   139.80   153  144   0.583 < Prob < 0.770 g= 0.583  1
3923.18  maxdev    1.4340           0.033  0.956  1.358  1.627

```

```

Plot? y,n, or c=change device [y]
>
Line, system number: (<CR> or * for internal guesses)
>
plot parameter? (type he for options list)
>

```

```

Plot? y,n, or c=change device [y]
>

```

At this stage you should have a plot showing you what the fit looks like, as in Fig. 2. The data is black, the fit green, and the positions of the fitted lines are shown in light blue. The numbering gives the system number, as in the output above, on the top, and the line ID number, in the ordering given in the atomic data file, on the row below.  $\text{Ly}\alpha$  is #1 in the list which was used here.

At this stage responding 'n' to the 'Plot?' question, then 'n' to 'Fit more lines?' closes the program, and the displayed fit will disappear.

The results are written to several places, which are by default:

- fort.13 contains the first guesses so you can run the fit again from file, if you wish to.
- fort.18 contains the full fit history, with the parameter values at all the iteration steps as seen on the screen.
- fort.26 contains a summary of the final results of the fit. This is in a different format than fort.13, but is read equally well if you wish to use it to restart the program.

Both fort.13 & fort.26 are ASCII files which can be edited before restarting. Note that if the program is re-run then fort.18 & fort.26 are over-written, and if re-run in interactive

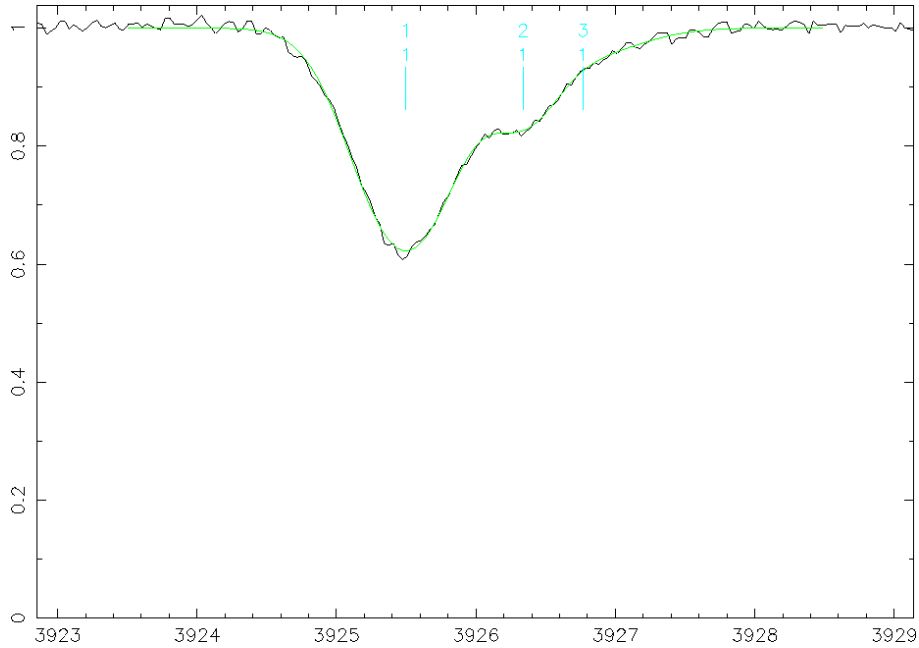


Figure 2: A three component Ly $\alpha$  fit to a region of the sample spectrum, obtained using the methods described in the text.

mode then fort.13 is over-written as well. If this is a nuisance then you can use the setup file (see section 7) to change where the summary output is written.

Why were three lines chosen for this example? Because two were tried and found not to be enough. The fit statistics given in the screen (and fort.18) output are the things to look at, and the main one is the  $\chi^2$  value and the number of degrees of freedom (ndf). A probability that the data matches the fit given these values is computed (APr), and  $\chi^2$  values for three probabilities is listed. For two systems,  $\chi^2 = 207.82$  for ndf= 148, which gives APr= 0.001.

There is a region-by region statistics breakdown, so you can see the bad regions, but here the probabilities are given as possible ranges because the degrees of freedom per region is not calculable. There is a runs test and K-S test probability given as well, but these are more prone to suffer from smoothing effects in rebinned data so are usually ignored.

### 6.2.2 Multiple regions

If there are several transitions for an ion available then the system parameters are better constrained by using all of the available lines. You can do this by specifying multiple wavelength regions. For example, in the sample data you might note that the close pairs of lines at 3965 A and 3971.5 A look like a two component system seen in CIV 1548 & 1550. For these the process is similar to above. Mark off the boundaries of the lines at 3965 A, with now two CIV 1548 instead of three HI 1215. Having done this you should then respond 's' to the 'Include other data' prompt, and you'll get something like

```
Include other data? y, n (def),
```

```

    (or sf for more from the same file)
> s
Adding the previous lines to the display
    1 C IV    12.807  1.560792  14.31
    2 C IV    12.622  1.561157   8.38
Plot? [y]
>
plot parameter? (type he for options list)
>

```

The whole available spectrum is then plotted, and you need to navigate to the CIV 1550 lines which are now helpfully marked. Expand the region, and mark off the edges of this new CIV 1550 wavelength zone in the same way as before (don't include the line near 3970 A - it is Galactic CaII). Do NOT put in CIV 1550 as lines though. VPFIT knows they are there, and putting them in a second time will only cause confusion or line rejection.

So you might for this region have the sequence

```

Region limits: 3970.541A (channel 2034) - 3972.366A ( 2089)
Channels 2034 2089
Vacuum wavelength for start of chunk is 3970.54363
Line 3 :ion, lamda0, N,b,z? <CR> to end
.. in the graphics window

```

```

Include other data? y, n (def),
(or sf for more from the same file)
>

```

```
no. of ions for fitting is 2
```

ion	N	z	b	bturb	temp		
iteration : 0 ( 1 )							
chi-squared : 1.886 ( 252.6578, 134 )							
C IV	12.77243	1.5607976	13.5745	0.00	0.00E+00	0 !	1
C IV	12.62013	1.5611554	8.5531	0.00	0.00E+00	0 !	2

.....

iteration : 3 ( 1 )							
chi-squared : 1.263 ( 169.2334, 134 )							
C IV	12.80507	1.5607889	14.4107	0.00	0.00E+00	0 !	1
C IV	12.67798	1.5611530	8.7871	0.00	0.00E+00	0 !	2

Parameter errors:

C IV	0.01211	0.0000029	0.5163	0.00	0.00E+00	!	1
C IV	0.01309	0.0000020	0.3863	0.00	0.00E+00	!	2

```

statistics for whole fit:
Runs test  K-S test  Chi-squared  Chans ndf   APr   Xp(.68) Xp(.95) Xp(.99)
0.00000   0.01309   169.23   140 134   0.021  141.29  161.73  174.29

```

```

Statistics for each region :
  Start      End      Chi-squared  Chans df?
3963.27  3966.51   108.09      79  73   0.005 < Prob < 0.017 g= 0.005  1
3963.27  maxdev    1.0796      0.194  0.956  1.358  1.627

3970.18  3972.83    61.15      61  55   0.265 < Prob < 0.471 g= 0.265  2
3970.18  maxdev    1.2073      0.108  0.956  1.358  1.627

```

.....

You are now asked which region you want to plot, and can have a look at each in turn. This can be extended to multiple regions, or multiple files. It is just a matter of how much patience you have in setting things up.

One thing which is worth stressing again is that it is important NOT to enter each transition of each ion individually. Once an ion is in the system, with a start redshift normally determined from one line, then VPFIT will put in all lines of that ion which fall in any of the wavelength regions specified.

It is not necessary to have the same ion list either. For example, if the second region were extended to include the Galactic CaII 3970 line then the sequence for the second region could be

```

right limit
Region limits: 3968.892A (channel 1985) - 3972.598A ( 2096)
Channels 1985 2096
Vacuum wavelength for start of chunk is 3968.92154
Line 3 :ion, lamda0, N,b,z? <CR> to end
.. in the graphics window
CaII 3970
Wavelength used: 3969.5901
set cursor x- wavelength, y- base of feature
.. and now half width at half (optical) depth
Estimated vac wavelength & z are 3969.69849 2.73041612E-05
11.8807063 12.9534595 2.73041612E-05
Line 4 :ion, lamda0, N,b,z? <CR> to end
.. in the graphics window

Include other data? y, n (def),
(or sf for more from the same file)
>

```

```

.....
iteration   :    3 ( 1 )
chi-squared :    1.250 (    236.2565,    189 )

  C IV    12.80493    1.5607889    14.3879    0.00  0.00E+00  0 !    1
  C IV    12.67798    1.5611531     8.7672    0.00  0.00E+00  0 !    2

```

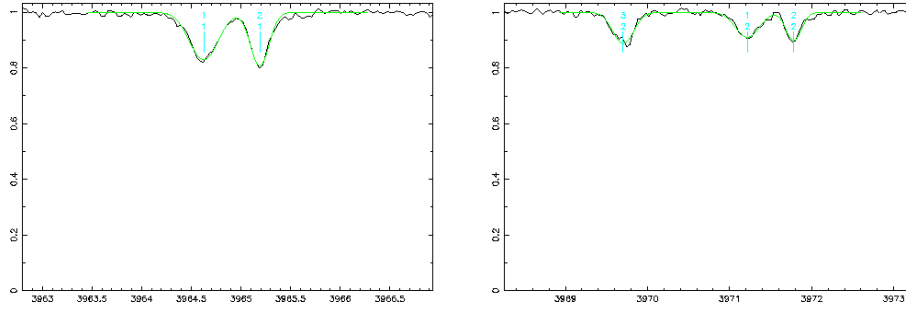


Figure 3: A three component Ly $\alpha$  fit to a region of the sample spectrum, obtained using the methods described in the text. Fits at redshift  $z = 1.561$  to CIV 1548 (left) and CIV 1550, with low redshift to Galactic CaII 3970 (right).

```

CaII      11.92909      0.0000286      12.5837      0.00      0.00E+00      0 !      3

Parameter errors:
C IV      0.01204      0.0000029      0.5169      0.00      0.00E+00      !      1
C IV      0.01296      0.0000020      0.3870      0.00      0.00E+00      !      2
CaII      0.02196      0.0000019      0.8401      0.00      0.00E+00      !      3

statistics for whole fit:
Runs test  K-S test  Chi-squared  Chans ndf   APr   Xp(.68) Xp(.95) Xp(.99)
0.00000    0.05532    236.26     198 189    0.011  197.74  221.79  236.45

Statistics for each region :
Start      End      Chi-squared  Chans df?   APr   Xp(.68) Xp(.95) Xp(.99)
3963.13   3966.61   110.44      86  80    0.014 < Prob < 0.039 g= 0.014  1
3963.13   maxdev    1.1861      0.120  0.956  1.358  1.627

3968.59   3972.93   125.81     112 103   0.063 < Prob < 0.176 g= 0.063  2
3968.59   maxdev    0.8735      0.430  0.956  1.358  1.627

.....

```

The CaII could be better constrained by including CaII 3934, and under normal circumstances one would include that as well. However it is totally swamped by a strong redshifted Ly $\alpha$  transition, so it is not worth including it.

A feature of this mode of operation is that you get best fitting parameters for exactly those components you have put in. No more and usually no less (sometimes lines become refined away to very low column densities, and they are then automatically removed). You can add keywords to the initial response line to tell VPFIT to add lines and try again if the best-fit  $\chi^2$  is unsatisfactory, iterating until either the  $\chi^2$  value is OK or it increases again with the addition of more ions. How to do this is described in section 6.4.

### 6.3 Running from file

While the interactive mode is fine for learning what the program does, it is tedious having to use it every time. If you have first guesses then it is almost invariably more convenient to run directly from a file. The first guesses need not come from interactive runs of the program, but could come from anywhere. Joe Liske's program `vpguess` provides a more convenient way of setting up an initial file. Another way is to use the accompanying `RDGEN` program to set up `VPFIT` start files - see the `RDGEN` description for this.

The results are the same as for the interactive mode, but now without the hassle of having to remark the region and enter guesses for something you tried before and somehow things were not quite right. The final results output file(s) from the program, which have default names `fort.13` and/or `fort.26` unless otherwise specified, can be used as input for a subsequent fit, after editing if you wish. This can have the format as for the output file written by the program, but a freer format is accepted. Each field in an input line can have any number of spaces between it and the next. Thus an acceptable input file for a spectrum held in `HE0515rc.fits` is:

```
*
HE0515rc  1 3691.378 3693.014
HE0515rc.fits  1 3697.606 3699.173
*
CIV      12.63      1.3848243      6.5544
  C IV    13.08      1.384894      6.67
```

Note that a blank line is a terminator for a dataset.

Each line between the '\*' separators give the filename, spectrum number (normally 1 unless it is in a multispectrum format), and the fitting region start and end wavelengths. Where several regions are involved in a simultaneous fit, the filename etc has to be specified for each.

Following the '\*' separator below the file and region information comes the initial values for the fit parameters (first guesses). Here there is one line per system, giving the ion, log column density, redshift and Doppler parameter for each redshift component.

So, in the example here CIV 1548 & 1550 are fitted simultaneously, with two redshift components. CIV 1548 falls in the first region (3691.378 - 3693.014 Å) and CIV 1550 in the second (3697.606 - 3699.17 Å). Note that you don't need to specify line wavelengths - the program looks to see if any lines from ions in the list at the given initial redshifts fall in the specified wavelength regions and puts them in automatically. The rest wavelengths for each ion come from the atomic data file, see section 14.

This enables you to write an input file by hand if you wish to, rather than the easier method of using the program to generate it. Note that a space between element and ionization is allowed, or may be omitted.

Two input file formats are supported. The one above, which dates from the original version of the program, and another which follows the output summary file format (see section 9.2) so these can be edited and used to restart the program if desired. It will be interpreted correctly unless you have removed the %% markers before the filenames. The version given below is completely equivalent to the one above:

```

%% HE0515rc.fits      1   3691.3777   3693.0135
%% HE0515rc.fits      1   3697.6064   3699.1730
C IV    1.3848243     0    6.5544    0.64 12.63    0.0 0 !
C IV    1.384894     0.000004    6.67     0 13.08    0.0 0 !

```

The '%%' lines now given the file and fitting region information, and others the ion, redshift Doppler parameter and column density. Note that the order of variables giving the ions has changed, and there are some spare numbers after the redshift, Doppler parameter and column density. They are there for compatibility with the summary output file, where they are the parameter error estimates. On input here they are ignored, but some quantity must be there (a single 0 is fine). As above, it does not matter whether or not there is a space between the 'C' and the 'IV', and free input format is allowed.

To use either of these files just type 'F' (from file) instead of 'I' (interactive) as the option on startup. So when following the preamble you see, with entries against the > symbol:

```

options:  <CR> for previous value
I - interactive setup and fit
F - run from an input file
D - display profiles from input file
? for help
option (key) (key)...
> f
Column density (n), logN (l) or emission (e), scalefactor [1, 1.0]
>
Parameter input file, # entries? [fort.13,1]
>

```

The 'f' (or 'F') in reponse to the first > prompt results in the program prompting for a filename. The following prompt asks if you want to work in log or linear column density space [logs are the default, i.e. the variables used if you just hit carriage return], and the third > prompt asks for the input filename. If you have called it `fort.13` then a carriage return will do here as well.

## 6.4 Optional parameters

When starting VPFIT you are given a short list of options

```

options:  <CR> for previous value
I - interactive setup and fit
F - run from an input file
D - display profiles from input file
? for help
option (key) (key)...
>

```

The response to this has a number of options in addition to the basic few listed. If you type '?' you get a brief list, which looks like

D Display fit from file  
 E Display and compute errors from file  
 F File start, fit parameters  
 I Interactive start, fit parameters  
 G Provide initial guesses and fit

Key words checked are:

add (p) (p) - add new lines if needed to  
 get an acceptable fit, the values (p)  
 are threshold probabilities for  $\text{CHI}^2$   
 and KS test respectively [0.01,1E-8]  
 OR, threshold normalized  $\text{CHI}^2$  (if >1)  
 Ly-a all added lines are assumed to be Ly-a  
 unknown, or ??, all added lines ?? (1215.67)  
 ecol (p1) (p2) - remove lines at end if  
 log col < (p1) AND log col err > (p2)  
 fixb (p) - added lines have fixed b [20]  
 diagnostics - write diagnostic o/p  
 inc filename - include earlier data  
 cum filename - cumulatively include earlier data

These options apply to all regions covered in a fit, and are given on a single line following the basic option.

- **add (p1 (p2))** When the program has converged to a best fit with the number of ions it has, check to see if the fit is acceptable, and if it is not, add a new ion and start again. This is done iteratively until either an acceptable fit is attained or the  $\chi^2$  for the fit fails to decrease when lines are added. The acceptance criteria are if the  $\chi^2$  probability is greater than **p1** and the K-S test probability is greater than **p2**. If both of these are satisfied then the process of adding components stops. The defaults are **p1**=0.01 and **p2** =  $10^{-8}$  (so the K-S test is normally ignored).

Where there are different ions in a complex the added ion is the same as the one closest to the position of a line which the program determines should be added. Occasionally also lines need to be divided into two, because the interim best fit goes significantly below the data. In such cases both new components have the same ions as the original divided line. An exception to this is where the nearest line is a high order Lyman line, where HI at a redshift which assumes Ly $\alpha$  is added instead.

The added ion can also be specified by giving the option Ly-a or ?? (or **unknown**).

- **Ly-a** All added systems assume that new lines placed in badly fitted parts of the regions are Ly $\alpha$ , independent of the nearest system.

If you want to restrict the redshift range for which Ly $\alpha$  will be added, you can set an upper limit of e.g  $z = 3.63$  by including **zu 3.63**,

- **??** or **unknown** All added systems have the ?? line as their basis, as specified in the atomic data file.



- **ecol p1 p2** End of iteration check of column densities. If an ion has a log column density  $< p1$  and the error in the column density is  $> p2$  then, when the program has converged to a satisfactory  $\chi^2$  (or given up because it failed to do so), these ions will be removed, worst first, to see if a satisfactory fit can still be obtained. It carries on doing this until the fit becomes unacceptable or there are no further systems satisfying the trial rejection criteria.
- **fixb p1** All added lines have a fixed Doppler parameter, value  $p1$ . You have to be pretty desperate to want this, but it can be useful if there is a messy blend where the Doppler widths are poorly constrained, provided that you have some reason to believe that the value you have entered is correct, or does not matter.
- **inc filename** Add lines from the ions with parameters in a file to the spectrum to modify the effective continuum. These are taken to have fixed redshifts, Doppler parameters and column densities and are not refitted. The input format for this file is as for the summary file (see section 9.2), and typically could be used to include weak lines where the parameters are known from elsewhere in a region. A better alternative is to fit all regions together, but if there are e.g. many high order Lyman lines from many systems going into a region of interest this may be unnecessarily time-consuming.
- **cum filename** This has the same action as the **inc** option (so don't use both together!) but for each fit the summary output is appended to **filename**, and used for subsequent fits if there is a series.

So if you wanted to fit with starting guesses from a file, add components until the  $\chi^2$  probability exceeded 0.02, with added components being unknown, and attempt to remove lines for the log column density was  $< 14.3$  and error estimate  $> 0.3$ , modifying the continuum with system parameters contained in a file called **f26.old**, then use

```
f ad 0.02 ?? ec 14.3 0.3 inc f26.old
```

## 6.5 Use for fine structure constant

The input file can have the form

```
*
J012417-374423    1  6272.250  6275.880  vsig=2.810
J012417-374423    1  6288.800  6290.060  vsig=2.810
J012417-374423    1  5344.700  5346.300  vsig=2.810
J012417-374423    1  5326.200  5327.610  vsig=2.810
J012417-374423    1  5258.180  5259.780  vsig=2.810
*
MgII      11.12085      1.2431860AX    6.0400BX    -5.41E-06QA
MgII      12.46181      1.2432293aa    1.3145ba    -5.41E-06QA
MgII      13.57020      1.2432912ab    6.0504bb    -5.41E-06qa
MgII      13.31861      1.2433628ac    6.4206bc    -5.41E-06QA
MgII      12.71755      1.2434859ad    4.8919bd    -5.41E-06QA
MgII      12.20853      1.2435642ae    7.7863be    -5.41E-06QA
```

MgII	11.58066	1.2437463	4.1546	-5.41E-06QA
MgII	11.67876	1.2440402	4.9295	-5.41E-06QA
FeII	12.50575	1.2432293AA	0.8672BA	-5.41E-06QA
FeII	14.18841	1.2432912AB	3.9915BB	-5.41E-06QA
FeII	13.27883	1.2433628AC	4.2357BC	-5.41E-06QA
FeII	12.20504	1.2434859AD	3.2272BD	-5.41E-06QA
FeII	11.55266	1.2435642AE	5.1367BE	-5.41E-06QA
<>	0.99988	3.3971637SZ	0.0000SB	0.00E+00SE

where the extra column is an estimate for the change in the fine structure constant  $\Delta\alpha/\alpha$  appropriate for the systems it covers.

Note that everything now has a fourth variable attached to it, so it is vital to mark it as fixed if there is no fourth variable ( $Q$  or  $K$ ) associated with it in the atomic data table (see section 14.3). So, in the example above, the ‘SE’ fixes the value at zero for the continuum adjustment <>.

To use the program in this mode the VPFSETUP file **MUST** contain

```
NOVARS 4
```

(see section 7), and the atomic data table (section 14) **MUST** contain the appropriate  $q$ -coefficients for each transition (see section 14.3). For this to work at all, the ions used must be flagged with at one or two character alphabetic identifier, and the first letter **MUST** be ‘q’ or ‘Q’ (to tell the program to use the  $q$ -values from the atomic data file for all transitions from this ion at this redshift). The scale of the variable  $\Delta\alpha/\alpha$  as printed can be changed as well - see section 14.3.

Different tags on the fourth parameter will cause different actions (at some stage). It is hard to imagine anybody wanting more than 26 different fine structure constants, so this should not be a significant restriction. As usual, the lower case one is the reference one, and the upper case flags (of which there may be zero) are tied to that.

If instead you are interested in the electron/proton mass ratio using  $H_2$ , use ‘m’ or ‘M’ instead of ‘q’ or ‘Q’ as tied tags. This can be used only in conjunction with  $H_2$ .

It is anticipated that this mode will be used after a preliminary fit has been obtained with  $\Delta\alpha/\alpha = 0$  (with NOVARS=3). I have absolutely no idea what might happen if you attempt to start interactively in this mode, but doubt that it will be good.

You should be able to leave the fourth parameter column blank for an ion which has lines which are not subject to fine structure constant or electron/proton mass ratio shifts, or you don’t care about. The column should then become a fixed zero - as in the example above.

## 6.6 Velocity precision from a portion of spectrum

Murphy, Webb & Flambaum (astro-ph/0611080 v3) have given a prescription for estimating the velocity precision one can obtain by using all the pixels in a spectral region. If NOVARS=4 (or more), and the prescription above is followed, then the  $\sigma_v$  defined by their equation (4) is computed and written to both the full output file (usually fort.18) and the summary output file (fort.26 or whatever you have changed that to in the VPFSETUP file). The (fort.26, for five regions) results have the form

```
...
%% J012417-374423.fits      1  5258.1763  5259.7773 vsig=2.810
! chunk 1  sigma_v=        0.04504709
! chunk 2  sigma_v=        0.04621412
! chunk 3  sigma_v=        0.03821856
! chunk 4  sigma_v=        0.05051567
! chunk 5  sigma_v=        0.04580045
.....
```

where the `sigma_v` values are in  $\text{km s}^{-1}$ . It is then up to the user to associate `q`-coefficients with each spectral region based on the transition appropriate for that region, and calculate the uncertainty in  $\Delta\alpha/\alpha$  using their equation (2). This could in principle be done within the program here, but it isn't (yet).

## 7 Setup parameter file

VPFIT setup parameters are read from a file which is pointed to by the environment variable VPFSETUP, e.g by

```
setenv VPFSETUP /home/whoever/wherever/vp_setup.dat,
```

where the possible contents of vp\_setup.dat are described below. It gives various optional presets for the running of the program. An example file could be:

```
lastchtied v
! ---- parameter limits ----
bvalmax 50000.0      Maximum b value (can have two - metals, HI)
bvalmin 0.2         Minimum b-value (up to two) (should be << that expected)
! cvalmin 8.0       minimum column density (log)
! cvalmax 30.0      maximum column density (log)
bltdrop 0.101       drop system if b value less than this AND ..
clogltdrop 14.3     if log column density less than this.
bgtdrop 50001.      Drop any system with b .gt. this (> bvalmax, so none).
fcollallzn 4.162e22 Fik*col for inclusion everywhere.
! zerolevels -0.3 0.3 limits to zero level adjustment (default - none)
! ---- miscellaneous things
! chisqthres 0.001 4.0 0.01 relative chi^2 decrement for stopping
! setspecchar S     internally fixed parameter flag
! dots 100          Put dots on screen print.
! ---- add/remove line parameters ----
adsplit 2.5         Line splitting bias
maxadrem 75         Maximum number add/remove cycles before stopping
absigp 10.0         line search lower level for splitting
adcontf 2.2 10 5.0 12.5
! colglo 0          column density below which guesses are ignored
! guessline CIV 1548 Line guesses are given feature (default is Ly-a)
! ---- output control
date                datestamp the '26' summary output
! wr13s f13.w       end summary root filename (fort.13 format)
wr26s f26.w         fort.26 summary root filename
! wr26prob          include chunk probability estimates in summary output
! nopchan 0         output (0=not much, 1=iterations to 6, 2=6+fort.18)
! vform            use variable format O/P depending on error estimate
! wrcitn           current iteration to junk.dat, remove on clean exit
! ---- internal substepping ----
! nsubmin 1         minimum number of subpixels per pixel
! nsubmax 11        maximum number of subpixels per data pixel
! nfwhmp 7          require at least 7 pixels per instrument fwhm
! ---- development tools ----
! pcvls            prompt for controls (il, stepsize etc)
! verbose nn       information printout control
! DEBUG 0
```

Note that comment lines are ones which start with a ! (or #), so any parameter line may be commented out, as are several in the example above.

The following sections describe the effects of some of these.

### 7.1 Tied parameter letter

```
lastchtied v
```

If the first letter of the one or two letter tied parameter flag is after this in the alphabet (so in this case **w - z**), then don't tie the parameters in the standard way described in Sections 11.1 and 11.2. Instead for Doppler parameters estimate temperatures (see Section 11.3) and for column densities the first in a sequence is the sum of those which follow (Section 11.4).

The default letter is **z**, so if this parameter is not set there is no possibility of special handling of column densities or Doppler parameters.

## 7.2 Parameter limits

Limiting values for the fitted parameters may be specified through various keywords in the setup file. The ones which are recognized are:

- Minimum Doppler parameter:

`bvalmin nnn`

where `nnn` is the minimum value of  $b = \sqrt{2}\sigma$  (in  $\text{km s}^{-1}$ ) allowed. If the best fit solution for any ion has a lower value of  $b$ , it is automatically reset to  $b = \text{nnn}$ .

If two quantities are given, as

`bvalmin n1 n2`

then the first (`n1`) is taken as the minimum  $b$  for all lines apart from HI lines, and `n2` is the minimum for the HI lines only.

- Maximum Doppler parameter:

`bvalmax nnn`

where `nnn` is the maximum value of  $b = \sqrt{2}\sigma$  (in  $\text{km s}^{-1}$ ) allowed. If the best fit solution for any ion has a higher value of  $b$ , it is automatically reset to  $b = \text{nnn}$ .

If two quantities are given, as

`bvalmax n1 n2`

then the first (`n1`) is taken as the maximum  $b$  for all lines apart from HI lines, and `n2` is the maximum for the HI lines only.

- Minimum column density

`cvalmin n1`

Here `n1` is the minimum column density allowed. If the program tries a value less than this then it is set to `n1`. If `n1 < 25` then the value is taken as the log of the column density in  $\text{cm}^{-2}$ .

- Maximum column density

`cvalmax n1`

Here `n1` is the maximum column density allowed. If the program tries a value greater than this then it is set to `n1`. If `n1 < 25` then the value is taken as the log of the column density in  $\text{cm}^{-2}$ .

- Criteria for dropping systems

`bltdrop b1`                    drop system if b value less than this AND ..  
`clogltdrop c1`                if log column density less than this.

Systems are dropped, and the search for the best fit continues without them, if the Doppler parameter  $b < b1$  AND the (log) column density is less than `c1`. Both parameters should be specified, but in case you don't the defaults are `b1 = 0.050001` and `c1 = 14.0`.

Another parameter

`bgtdrop b2`

simply ensures that systems with ridiculously large Doppler parameters are also dropped. I doubt that this is often used.

- To include a line ion in all fitting regions use

`fcollallzn n1`

VPFIT uses the ion list to look for lines in the specified wavelength regions, and includes them only if they fall within those regions. Lines for which the column density  $\times$  oscillator strength exceed this value are included in all wavelength regions. It slows the program down a bit, but is occasionally useful if you want to include the damping wings of a  $\text{Ly}\alpha$  line which has a centroid which is otherwise too far from the region of interest.

- Limits to zero level adjustment (see Section 14.2.4) can be set by

`zerolevels n1 n2`

The effect is to constrain the zero level adjustment to be between `n1` & `n2`  $\times$  the local continuum. This is normally not needed (and the default is no limits), but sometimes you might have knowledge of partial coverage and wish to set limits. For normal data `n1` should be negative - otherwise the zero level will be constrained to be above zero!

## 7.3 Miscellaneous

### 7.3.1 Stopping criteria

At each iteration with a given number of systems a check is made on the change in the relative  $\chi^2$ , and if  $\Delta\chi^2/\chi^2 < 0.001$  (default) the iterations terminate. However, if the normalized  $\chi^2 > 4$ , the stopping criterion is less stringent. The iterations terminate if  $\Delta\chi^2/\chi^2 < 0.01$ . So if the fit is not very good the program terminates earlier. This saves a bit of time if lines are being added automatically.

These thresholds can be changed in the VPFSETUP setup file, using the line

```
chisqthres 1E-5 5.0 0.05
```

which changes the stopping  $\Delta\chi^2/\chi^2$  to  $10^{-5}$  for a normalized  $\chi^2 < 5$ , and to 0.05 if for normalized  $\chi^2 > 5$ .

### 7.3.2 Fixed flag

Occasionally added lines within VPFIT have some parameters fixed. For example, the 'redshift' assigned to a continuum adjustment acts only as a marker to tell the program which wavelength region the continuum adjustment (Section 14.2.3) is to be applied to, so it has to be fixed (for a description of fixed parameters see Section 11.1). The line

```
setspecchar S
```

means that **S** is the letter associated with internally fixed parameters. You should avoid using this letter associated with parameter values in input files unless you know what you are doing.

### 7.3.3 Progress monitor

For those who have slow machines with long interactive iterations, and like to be reassured that the program is actually doing something, you can arrange for a row of dots to appear, one for every **n1** parameters, by using

```
dots n1
```

Whenever **n1** parameters have been dealt with, a dot disappears from the screen. It is rarely used or wanted, but it is there... Default: no dots.

### 7.3.4 Internal variable scaling

Some variables are scaled internally so that their values are not orders of magnitude different from the others. The scalefactors are unlikely to need changing, but you can if you want to. This may be done through the setup parameters file.

- **internal lognscale p1** Scale the logN values by multiplying them by a factor **p1** for use internally. Default: **p1=1.0**.

- `internal facnscale p1` Scale the column density values  $N$  by a factor `p1` for use internally if fitting linear column densities. Default: `p1= 10-14`, so column densities  $\sim 10^{14}$  have values internally  $\sim 1$ .
- `internal thunit p1` Temperatures are internally measured in units of `p1` K. Default: `p1= 1000`.

## 7.4 Line parameters

### 7.4.1 Guess line

The `guessline` parameter gives the line to use for initial guesses if no lines at all are specified for any wavelength region. This applies only for the mode where you are starting from an input file specifying the region(s). You may use `guessline ?? 1215` if you want to, but since that is a 'special' line in some sense, you then do have to specify that any further lines to be added have to be `??` as well, if that is what you want, using `f ad ?? . . . .`

when starting the program (see Section 6.4).

## 7.5 Internal variables

There are a number of further internal variables, such as step sizes used for estimating derivatives, which may be changed. If you do this, it is important that you know why you are doing it and what the consequences might be - and this tends to mean you need some familiarity with how the code works. Few people do, so these are not normally prompted for, but will be if the line

`pcvals`

appears in the setup file. Then VPFIT asks for some setup parameters with

```
setup: ? print values, <CR> OK, n,z,b,x4,cs,sf,il,w,me,p,d,v to change
```

A '?' prints the current default values, any of which may be changed by typing the letter code followed by the new value(s). Note that if this prompting is turned on, the damping of the matrix calculation is turned off. To reset it, type 'il'.



## 8 Spectral resolution

The spectral resolution may be specified in several ways, and, if you are content to use a Gaussian to describe the instrument profile, how it is done is a matter mostly of convenience. The options are described below.

### 8.1 Resolution in FITS data header

The method which involves the least effort is to specify the resolution in the header of the FITS data file, as a constant velocity FWHM (in  $\text{km s}^{-1}$ ) associated with the keyword RESVEL. The instrument profile is then assumed to be a Gaussian with that full-width-half-maximum. Since profile fitting is most useful where there is a hope of resolving the lines, the main application is for echelle data where constant velocity resolution is usually a reasonably good approximation.

Where there is more information on the instrument profile this can be put in a file and the file name associated with the key RESFILE in the header to the FITS data file. The file itself must reside in database/ relative to the FITS file location This is a hangover from an old IRAF-based structure, but it has not caused any problems.

There are three options for the file contents:

- As a table of wavelengths and corresponding resolution values, for a Gaussian instrument profile. Such a file must have a descriptor on the first line ('R' or 'r' for a table of resolution as  $\lambda/\Delta\lambda$  where  $\Delta\lambda$  is the instrument FWHM; or 't' for a table with FWHM velocities in  $\text{km s}^{-1}$ ). Such a table just has wavelength/resolution pairs, one per line, and might look like:

```
Resolution
3000 45000
5760 45000
5820 42000
6700 42000
6900 45000
8600 45000
8650 40000
11000 40000
```

A blank line acts as a terminator, as does the end of the file. The values may be real or integer, and (multiple) spaces or (single) commas may be used as separators, as for almost all VPFIT input. Don't use tabs though - they are not recognized.

In VPFIT the resolution value used for each data region is determined at the central wavelength for that region, linearly interpolating between the two nearest values, or adopting the nearest value if the wavelength is out of range. It is assumed that the profile is a Gaussian.

- As a polynomial fit to the FWHM as a function of wavelength. In this case it is also assumed that the instrument profile is a Gaussian, with

$$\text{FWHM} = a_1 + a_2\lambda + a_3\lambda^2 \dots + a_6\lambda^6$$

where the FWHM is in A, and the coefficients  $a_1, a_2$  are from the file, one per line. There must be six of them, so pad out with zeros if necessary. The setup allows for multispectrum files, and the spectrum referred to is specified by row number in the FITS file. So for a standard single spectrum file, the resolution file in this format has fit coefficient sets separated by lines containing 'row nn'. So such a file might look like:

```
row 1
0.25
0
0
0
0
0
row 2
0.35
0
0
.....
```

This might be useful if you have constant wavelength resolution, or echelle spectra where the echelle orders are kept separately within the file, but since otherwise the polynomial fit has to be determined somehow the alternative format for the table given above is almost invariably preferable. It is really a leftover from the earliest versions of the program.

- A profile which is specified on a pixel-by-pixel (or sub-pixel) basis.

The resolution file need not contain just the fit coefficients for the Gaussian width line-spread-function, but can be a pixel by pixel description with a weight for each pixel specified. This is assumed if there is no RESFILE specified in the header for the data and the file contains more than one line of values, and is not invoked otherwise. This allows any instrument profile you want, particularly for those cases where a Gaussian might not be appropriate – all you have to do is measure it! It is assumed that the pixel profile given applies to the whole of the spectrum with which it is associated. If there are different profiles for different parts of the spectrum then you can either

- have multiple copies of the spectrum, or parts of it, each with RESFILE pointing to a different file, or
- run from file with different filenames specified on the line containing the file and region information (see section 8.2).

A LSF which has as weights the ordinates of the normal curve with  $\sigma=1$  pixel at each pixel relative to a central peak could be written to a file (in /database) as:

```
-4 0.0001
-3 0.0044
-2 0.0540
-1 0.2420
```

```

0 0.3989
1 0.2420
2 0.0540
3 0.0044
4 0.0001
<EOF>

```

where <EOF> is the end-of-file. This pixel by pixel smoothing is then applied to the Voigt profiles on the continuum before comparison with the data. Note that the file should contain an odd number of pixel values for the LSF. The normalization does not matter, since the smoothed value at each point is divided by the summed weights.

The VPFIT program needs to know that the instrument profile is specified in pixels, and this is done via the file pointed to by the environment variable VPFSETUP (see section 7). This file **must** contain

```

nsubmin 1
nsubmax 1

```

If the instrument profile is not well sampled, then you should really choose finer pixels for the data (not helpful, but true). It is possible specify an instrument profile at a sub-pixel level, and have this applied to the data. Then it is a good idea to have an odd number of sub-pixels per pixel, and the format of the instrument profile file for nine sub-pixels per data pixel is

```

-9.444445 0.000000
-9.333333 0.000000
-9.222222 0.000186
-9.111112 0.000200
-9.000000 0.000200
-8.888889 0.000251
-8.777778 0.000300
-8.666667 0.000311
-8.555555 0.000366
-8.444445 0.000422
-8.333333 0.000477
-8.222222 0.000531
-8.111112 0.000623
-8.000000 0.000692
-7.888889 0.000746
-7.777778 0.000853
-7.666667 0.000963
.....
-0.555556 0.331896
-0.444444 0.391605
-0.333333 0.452252
-0.222222 0.505960
-0.111111 0.544142
0.000000 0.559950
0.111111 0.548307
0.222222 0.514081

```

```

0.333333 0.463878
0.444444 0.405957
0.555556 0.347922
.....
9.222222 0.000200
9.333333 0.000181
9.444445 0.000077
<EOF>

```

This example is for STIS on HST, and the values given are in pixels (or fractions of a pixel) relative to the centre in the first column, and relative intensities in the second. The normalization does not matter - the sum is divided out. Note that the instrument profile need not be symmetric about the reference point, but if it is not you should think carefully about what the reference wavelength really means!

If a pixel profile is used it is **vitaly important** that the VPFIT program profile generation sub-stepping be the same as the instrument profile. So, in the VPF-SETUP file the minimum and maximum substepping allowed must be equal, and set to the value appropriate for the instrument profile. So, for the STIS example above, the VPFSETUP file **must** contain

```

nsubmin 9
nsubmax 9

```

If you have different data files with instrument profiles specified at different sub-pixel levels, then (for now at least) you need to interpolate the coarser instrument profile on to the the scale of the finer. The program will not do it for you.

## 8.2 Specifying resolution in startup files

It is possible to specify the values for the resolution by using the key '*vsig = nn.nn*', '*vfwhm = nn.nn*', '*wfwhm = nn.nn*' or '*wsig = nn.nn*' in an input startup file which contains first guesses. If the resolution is set in this way, any resolution specified in the FITS header is not used. Here *vsig* is the Gaussian  $\sigma$  in  $\text{km s}^{-1}$ , assumed constant over the region to which it applies, and *wsig* is  $\sigma$  in  $\text{\AA}$ , again assumed constant over the region. The FWHM descriptors are similar, but with the FWHM input instead of the  $\sigma$ . An example of an input file using this approach is:

```

%% HE0515m4414.fits      1   6012.49   6017.67 vsig=2.8
%% HE0515m4414.fits      1   6027.88   6033.26 vsig=2.7
MgII  1.150251  0.000003   5.24   1.13  10.962  0.075  0
MgII  1.150341  0.000005   1.91   1.20  10.887  0.176  0
MgII  1.150407  0.000002   4.96   1.43  11.494  0.083  0
MgII  1.150479  0.000003   2.84   1.55  11.197  0.152  0
MgII  1.150546  0.000001   3.44   0.21  12.164  0.014  0
MgII  1.150634  0.000004   4.57   0.40  12.275  0.093  0
MgII  1.150685  0.000001   3.20   0.53  12.478  0.184  0
MgII  1.150744  0.000019   4.78   3.31  12.557  1.412  0

```

This can be useful if you are not sure of the resolution and want to try a few cases.

A new pixel instrument profile can also be pointed to from the input startup file, using  $pfin = < filename >$ . This can be set to point to a different file for each fitting region, but the (sub-) pixellation of the instrument profile must be the same for all regions. Changing the wavelength *vs* resolution file in this way is not possible though - instead just specify *vsig* or whatever on a region by region basis as in the example above.

## 9 Output

### 9.1 Screen output

A running commentary giving the numerical results for each iteration is normally written to the screen. The initial information depends on the mode of use (basically 'from file' or 'interactive'), then during the fitting process you can monitor the normalized  $\chi^2$  value at each iteration to see how it is approaching (or not) unity, and the fit parameters for each ion in each system chosen are also shown. The iteration output looks like

```
iteration   :    4 ( 1 )
chi-squared :          1.031 (          119.5556,    116 )

  C IV      12.08718      3.2558106      9.6634      0.00  0.00E+00  0 !    1
  C IV      12.63509      3.2560551      8.3725      0.00  0.00E+00  0 !    2
  C IV      12.67623      3.2563261      43.2974      0.00  0.00E+00  0 !    3
```

....

This example shows iteration 4 of set 1, where the set number will change only in the automatic add or remove ions mode. The normalized  $\chi^2$  is given, along with the actual  $\chi^2$  and number of degrees of freedom. Then for each ion in each system, log column density, redshift and Doppler parameter. The next two numbers are turbulent velocity and temperature if those two quantities are computed (see 11.3), and the '0' is a rarely used region pointer (see 9.2 below). The final numbers simply give a line count, and ID number for use with the plot of the final fit.

This output is also written to a file, `fort.18`. It can be suppressed by including the line

```
nopchan 0
```

in the `VPFSETUP` file. Replacing the '0' by '1' gives output to the screen only, and '2' is for screen plus file.

When the  $\chi^2$  step per iteration is small enough the process stops, with something like

```
iteration   :    9 ( 1 )
chi-squared :          0.848 (          98.3189,    116 )

  C IV      12.01560      3.2557412      6.5453      0.00  0.00E+00  0 !    1
  C IV      12.83274      3.2560529     11.3139      0.00  0.00E+00  0 !    2
  C IV      12.31592      3.2565894     16.7572      0.00  0.00E+00  0 !    3
```

Rescaled parameter errors:

```
  C IV      0.12455      0.0000225      2.5080      0.00  0.00E+00      !    1
  C IV      0.02565      0.0000067      0.9479      0.00  0.00E+00      !    2
  C IV      0.06177      0.0000276      3.1832      0.00  0.00E+00      !    3
```

statistics for whole fit:

```
Runs test  K-S test  Chi-squared  Chans ndf   APr   Xp(.68) Xp(.95) Xp(.99)
 0.16177   0.93632    98.32      125  116   0.881   122.75  141.85  153.63
```

Statistics for each region :

Start	End	Chi-squared	Chans	df?						
6587.13	6591.58	48.87	62	53	0.635	< Prob	< 0.887	g=	0.635	1
6587.13	maxdev	0.7198			0.678	0.956	1.358	1.627		
6598.24	6602.75	49.45	63	54	0.650	< Prob	< 0.894	g=	0.650	2
6598.24	maxdev	0.5291			0.942	0.956	1.358	1.627		

....

The estimated parameter errors follow the best fit parameters for the final iteration. The term **Rescaled parameter errors** reflects the fact that the diagonal terms in the Hessian matrix have been rescaled by multiplying by the normalized  $\chi^2$  value, so the error estimates are still reasonably good even if the data error array is incorrect by some factor, as is roughly the case for rebinned data where the only error array available is based on photon statistics. If the data has come from the UVES\_popl package this rescaling is not done (and the word 'Rescaled' no longer appears). There is a similar indication as to whether or not the errors have been rescaled in the summary file (see section 9.2) if the change is significant.

Note that the error estimates given are *only* from the diagonal terms in the Hessian matrix, so if the variables used are not independent then they could well be underestimates. If you are doing something where the true errors are important then you should try to find out what they really are by e.g. Monte-Carlo trials with simulated data, though setting those up realistically may not be easy.

The fit statistics given in the screen (and fort.18) output are the things to look at to see if a fit is acceptable, and the main one is the  $\chi^2$  value and the number of degrees of freedom (ndf). A probability that the data matches the fit given these values is computed (APr), and  $\chi^2$  values for three probabilities is listed. You can choose whatever acceptance criterion you like - APr > 0.01 is a reasonable value.

There is a region-by region statistics breakdown, so you can see the bad regions, but here the probabilities are given as possible ranges because the degrees of freedom per region is not calculable. There is a runs test and K-S test probability given as well, but these are more prone to suffer from smoothing effects in rebinned data so are usually ignored. The nature of the runs test in particular is lost in the mists of time, so I'm not even sure what it means any more.

## 9.2 Summary output

At the end of the fitting a summary is printed out. Precisely what you get may be determined by parameters in the VPFSETUP file (see section 7). The default is to write to (and over-write) a file called `fort.26`, but if you want a named file for each fit set then in the VPFSETUP file include the line

```
wr26s f26.w
```

or something similar. The final results are then written to a file `f26.wnnnn`, where `nnnn` is the nearest integer central wavelength for the first of the regions of those included

in the fit. The first lines of the output file start with '%' and give the data file and fitted region limits. These are followed by a comment line with overall fit statistics - iterations, normalized  $\chi^2$ , number of pixels, number of degrees of freedom, chance probability that the fit is OK, and number of systems dropped in finding solution. Then come the parameters for each fitted ion, with each line giving ion, redshift,  $\pm$ , Doppler parameter in  $\text{km s}^{-1}$ ,  $\pm$ , log column density in  $\text{cm}^{-2}$ ,  $\pm$ . The final number on that line is almost invariably zero [it is a region pointer where an ion is to be included in a single region only, a somewhat unphysical situation which has been used for testing purposes].

```

%% HE0515rc.fits      1  5562.1941  5566.0996
%% HE0515rc.fits      1  5590.8700  5595.3470
! Stats:   4      1.1842712  605  428  0.005  0
FeII      1.150407    0.000004    3.83    1.18  11.315    0.086  0 !
FeII      1.150484    0.000016    2.90    3.71  11.037    0.368  0 !
.....

```

This is OK normally, but occasionally the errors turn out to be zero at this precision, so more significant figures should be printed. You can set the program by including the line

```
vform
```

in the VPFSETUP file, and then the number of decimal places is increased (for the redshift to 8 or 10) depending on the redshift error. If you want to have the maximum number of significant figures every time, then `vform long` in the setup file will do it. The summary file then looks like

```

%% HE0515rc.fits      1  5562.1941  5566.0996
%% HE0515rc.fits      1  5590.8700  5595.3470
! Stats:   4      1.1842712  605  428  0.005  0
FeII      1.1504065320  0.0000038797    3.82912    1.17982  11.315082    0.086321  0 !
FeII      1.1504837563  0.0000156551    2.89745    3.70543  11.036918    0.367643  0 !
.....

```

Whatever the precision, this summary file can be used, either as it stands or with values changed, or lines added, to restart VPFIT in the 'Read from file' mode.

### 9.3 Looking at the fit results

After the fitting process is finished you are given the opportunity to look at the results in a PGPLOT graphics window if you want to. This is done region by region, using the same command line plotting routine as the RDGEN program. If you have more than one region involved in the fit, you are prompted for which one you want.

```

Plot? y,n, or c=change device [y]
>
Identify which dataset:
# ID  Filename      data cont  lamcoeffs      nchans  KS prob
1  1  filename1.fits  1  1  5454.23  0.0455  126911  0.533
2  2  filename1.fits  1  1  5468.44  0.0456  126911  0.968

```



```

Which # ? [ 1 ]
>
plot parameter? (type he for options list)
>

PGPLOT device? [/xwindow]
>
Plot? y,n, or c=change device [y]
>

```

The > prompt is, in this example, followed by a carriage return, since usually the defaults are sensible. It is not normally worth using the full range of plot parameters, since the program displays the fit region you have chosen, but they are all there in case you want to examine something more closely. Type ? for help if you want it. Fuller details are given in the RDGEN description.

The plots from VPFIT mark the line positions with two numbers - the top is just the system number in the output list order, and the lower one identifies the line, by number for the given ion, in the atomic data list. So, for example, CIV 1548 would be labelled 1, 1550 labelled 2, SiII 1808 2, SiII 1526 3 etc.

If you want an ASCII file of the plotted quantities, then

as

at the 'plot parameter' prompt will result in the program writing the results to fort.17 instead of the PGPLOT window or device, without closing the plot device. To restore plotting to that device simply enter as again when you want that to happen. The fort.17 format is

```
wavelength  data  error  fitted profile
```

for the region plotted (possibly with silly numbers for the fitted profile outside the fit range), followed by a list of tick mark positions with the line ID numbers as described above.

## 10 Subpixel profiles

Lines with FWHM  $\sim$  a few pixels or less were dealt with incorrectly by versions of VPFIT earlier than VPFIT9. There is still a potential problem with very narrow lines, but the constraint is now the patience of the person running the program rather than something intrinsic to the method used.

The program resamples pixels according to the smallest  $b$ -value, so that there are a minimum number of pixels per FWHM ( $= 1.68 \times b$ ). The values for internal substepping can be set in the setup file associated with the environment variable VPFSETUP. The defaults are:

```
!                               — internal substepping —
nsubmin   1  minimum number of subpixels per pixel
nsubmax   11 maximum number of subpixels per data pixel
nfwhmp    7  require at least 7 pixels per line fwhm
```

The subpixel profile is built up for all lines, convolved with the instrument profile, and then the result is converted back to the original pixellation for comparison with the data. If you want to speed things up, with a possible loss of accuracy, set *nsubmax* to a lower value ( $\geq$  *nsubmin*), or choose a lower value for *nfwhmp*, or both.

If you are using a pixel-based instrument profile, then set *nsubmin* = *nsubmax* = profile sampling per pixel. If you are worried about intrinsically narrow lines, then you can interpolate the instrument profile on to a finer sampling per pixel.

## 11 Fixed & tied parameters

It is possible to fix any parameter at some specific value, and to tie parameters so that they have the same value, or specific relationships with each other.

### 11.1 Fixed parameters

On occasion it is useful to fix the redshift or the Doppler parameter because you know them accurately from fits to other lines.. e.g.  $z$  and  $b$  from SiII, and you wish to apply these to OI or CII. Fixed parameters are indicated by UPPER CASE letters after the parameters in question. For the input (fort.13) file the parameter lines could look like:

```
0 I 14.043 4.382795E 4.25K
0 I 14.043 4.382893J 4.25N
0 I 12.346 4.383487 13.04
```

.. in which case the redshifts and the Doppler parameters are fixed, but the column densities are free to vary for the first two, and everything varies in the fit for the third.

### 11.2 Tied parameters

Another thing you might want to do is to tie parameters – for example so that SiII and CII are at the same redshift, and have the same Doppler parameters (or Doppler parameters in the ratio set by the square root of their mass ratios to mimic thermal broadening). This is done by the same letter approach, but now one thinks of a reference ion (with lower case letters) and others tied to it (by the corresponding upper case ones), so the ion detail lines in fort.13 become like:

```
C II 14.043 4.382893j 4.25k 0.00 1.00E+00 0
C II 15.568 4.383865c 11.32n 0.00 1.00E+00 0
SiII 14.043 4.382893J 4.25K 0.00 1.00E+00 0
SiII 13.276 4.383865C 11.32N 0.00 1.00E+00 0
```

.. here the redshifts and the Doppler parameters are tied. The mysterious 1.00E+00 is the ASSUMED temperature, which is fixed at 1K in this case so that the Doppler parameters are effectively the same, i.e. all the  $b$  is turbulent. If you want thermal widths, set the 0.00 to be some small number (like 0.01) – it is the turbulent Doppler parameter in km/s. If you like 1.0E4K, then change the 1.00E+00 to 1.00E+04, and so on. If there is a number there it fixes the value, and 0.0 means free to fit. (0.00 0.00E+00 gives a thermal fit). You can tie Doppler parameters at different redshifts if you want to, but it is not clear what that would mean.

The output gives the best fit Doppler parameters given the constraints. It does not give a e.g. a temperature estimate directly, but this can be inferred from the Doppler parameters.

There are some things to be aware of:

- Do not use the same lower case letter more than once, since then the program does not know what you are trying to link a variable to. This does not mean you are restricted to 26 linked parameters however - the "j" in the example above could be replaced by a two-letter combination (e.g. "ja"), and in such a case "J" should be changed to "JA". This means there are 676 independent tied or fixed systems possible, which should be plenty!
- Note that you can't fix both the turbulent and thermal Doppler parameters at the same time, because this fixes the total b-value (which you can do, as above). If the turbulent value input is non-zero, then the temperature value is ignored, whatever it is, and the program treats it as an input value zero. Note that the letter should be earlier in the alphabet than the 'lastchtied' one in the setup file, since if not it is liable to try fitting a turbulent and thermal component simultaneously using a different prescription.
- In this case the column densities are free parameters, but you can fix and tie these as well if you want to. Fixing is obvious, but tied column densities is not something which has been wanted often. There is one possibility which is catered for - demanding that ion ratios within a complex velocity system are the same for selected components of that complex. This is described in subsection 11.5

### 11.3 Temperature estimation

Temperatures and turbulent components may be estimated directly using tied ions of different mass. Since this requires two parameters there are now two reference ions, which should be of different mass. If the first indicator letter is latter in the alphabet than 'lastchtied' in the setup file, then the Doppler parameters  $b$  are determined assuming that

$$b^2 = b_{\text{turb}}^2 + \frac{2kT}{m}$$

where  $m$  is the mass of the ion and  $T$  is the temperature. An example startup file for doing this (in summary format) is

```
%% HE0515m4414      1    6130.8716    6131.7505
.....
%% HE0515m4414      1    5037.6309    5038.2656
FeII    1.149084aa  0.000000    2.08wa    0.00  12.57    0.03  0
AlIII   1.149084AA  0.000000    2.55WA    0.00  11.94    0.04  0
MgI     1.149084AA  0.000000    2.63WA    0.00  10.64    0.09  0
MgII    1.149084AA  0.000000    2.63wa    0.00  12.50    0.10  0
FeII    1.149115ab  0.000000    4.84wb    0.00  12.43    0.04  0
MgII    1.149115AB  0.000000    5.90wb    0.00  13.09    0.03  0
MgI     1.149115AB  0.000000    5.90WB    0.00  11.07    0.04  0
AlIII   1.149115AB  0.000000    5.73WB    0.00  11.91    0.04  0
```

The output summary from this then gives the fit parameter estimates in the form

[ion	$z$	$\pm$	$b$	$\pm$	$\log N$	$\pm$		$b_{\text{turb}}$	$\pm$	$T$	$\pm$
FeII	1.149084aa	0.000001	2.08wa	0.00	12.571	0.029	0 [	1.534	0.301	6.697E+03	2.949E+03
AlII	1.149084AA	0.000000	2.55WA	0.00	11.942	0.037	0 !				
MgI	1.149084AA	0.000000	2.63WA	0.00	10.641	0.090	0 !				
MgII	1.149084AA	0.000000	2.63wa	0.00	12.504	0.099	0 [	1.534	0.301	6.697E+03	2.949E+03
FeII	1.149115ab	0.000003	4.84wb	0.00	12.430	0.040	0 [	3.827	0.561	2.956E+04	6.664E+03
MgII	1.149115AB	0.000000	5.90wb	0.00	13.092	0.034	0 [	3.827	0.561	2.956E+04	6.664E+03
MgI	1.149115AB	0.000000	5.90WB	0.00	11.071	0.037	0 !				
AlII	1.149115AB	0.000000	5.73WB	0.00	11.909	0.040	0 !				

Note that the error estimates for the individual ion Doppler parameters are set to zero. Zero in this context does not mean they are zero, it simply means they are not computed because they are now derived variables. The error estimates are now associated with the turbulent Doppler parameter and the temperature, in the columns beyond the '['.

## 11.4 Summed column densities

If you are dealing with a multicomponent complex then the column densities of the individual components may have large error estimates because of their proximity to other velocity components. The total column density may be much better constrained however, and for abundance studies you may be interested in the overall relative column densities. The column density sum, and its error, can be computed directly within the program.

The `vp_setup.dat` file (section 7) should contain `LASTCHTIED v` – or whatever letter you choose. Later letters in the alphabet are then treated in a special way for tying column densities so that the first one in the block gives the `TOTAL` column density for the complex. The redshift and Doppler parameter still apply to that individual component, which now has a column density equal to the list value minus the sum of all the rest with the same identifying letter. Thus order in the `fort.13` file is important!

For example, if you want to know the total column density on the assumption that an HI system is made up of  $b = 13$  clouds, then you would put in `fort.13` something like:

```
H I 13.905 3.320368 9.65
H I 16.76x 3.320970 13.00F
H I 15.91x 3.321462 13.00G
```

The 16.76 is then the sum of the column densities in the two 'x' systems. This may seem pointless, but in fact is useful for close blends in which the error estimates for the individual components are large because of difficulties in resolving the blend, while the total column density is much better constrained. In the output file the error against the first column density is now the error in this total.

As with other tied parameters, two letters may be used, so 'xa' is treated separately from e.g. 'xb'. Then only the first of the two letters is checked to see if sums are required, so for e.g. 'ax' the column density sums are NOT used. For comparing total column densities in a double system with common redshifts (and turbulent broadening of the lines) one might start with:

```
C IV 14.63xa 2.765821aa 12.69ia 0.00 1.00E+00 0
C IV 13.89xa 2.765965ab 17.73jb 0.00 1.00E+00 0
SiIV 13.96xb 2.765821AA 12.69IA 0.00 1.00E+00 0
SiIV 13.10xb 2.765965AB 17.73JB 0.00 1.00E+00 0
```

The ordering for both the `xa` and `xb` sets is important. Note that the flags against the column densities are all lower case. There is no notion of a main component which others

are tied to (as here for the redshifts and Doppler parameters), since all the parameters are still free. It is only that the first in the list is computed in a different way.

You can extend this to as many CIV as you like up to the limit of the total number of parameters, but they must appear as a single block in the file of starting guesses. Same is true for the SiIV in this example. And other lines which may be blended can also appear in the list, but not within any block, so

```
C IV 14.63xa 2.765821aa 12.69ia 0.00 1.00E+00 0
C IV 13.89xa 2.765965ab 17.73jb 0.00 1.00E+00 0
H I 13.02 3.345500 25.33
SiIV 13.96xb 2.765821AA 12.69IA 0.00 1.00E+00 0
SiIV 13.10xb 2.765965AB 17.73JB 0.00 1.00E+00 0
```

is acceptable, but

```
C IV 14.63xa 2.765821aa 12.69ia 0.00 1.00E+00 0
C IV 13.89xa 2.765965ab 17.73jb 0.00 1.00E+00 0
SiIV 13.96xb 2.765821AA 12.69IA 0.00 1.00E+00 0
H I 13.02 3.345500 25.33
SiIV 13.10xb 2.765965AB 17.73JB 0.00 1.00E+00 0
```

is not.

The final thing to note is that if the first column density in a list tied in this way is smaller than the sum of the later ones in the list, then the value is taken as the column density for that component, and for the output the quantity printed is replaced by the sum. So, if one had

```
C IV 12.50xa 2.765821 12.69 0.00 1.00E+00 0
C IV 12.60xa 2.765965 17.73 0.00 1.00E+00 0
C IV 12.60xa 2.766165 10.73 0.00 1.00E+00 0
```

it would be converted to

```
C IV 13.05xa 2.765821 12.69 0.00 1.00E+00 0
C IV 12.60xa 2.765965 17.73 0.00 1.00E+00 0
C IV 12.60xa 2.766165 10.73 0.00 1.00E+00 0
```

and then a best fit solution sought.

If the first column density in the list is larger than the sum of the rest, then that column density is not modified.

## 11.5 Common pattern relative ion abundances

Now to tie different ions so that the relative numbers for each ion remains the same across the complex, but the total column densities may vary. Given the range of possible ionizations and dust depletions this might not be very physically reasonable unless you choose the ions carefully, but it might be worth a try sometimes. You can do this by having fort.13 containing e.g.:

```

...
C IV 12.10  2.765701  14.81
C IV 14.63x 2.765821a 12.69i
C IV 13.89x 2.765965b 17.73j
C IV 12.89x 2.765995c  8.31k
SiIV 13.96% 2.765821A 12.69I
SiIV 13.10X 2.765965B 17.73J
SiIV 12.10X 2.765995C  8.31K
MgII 12.35  0.876844  5.37
...

```

The CIV grouping is as above, and the SiIV group must have the same number of ions appearing in the same order, *immediately* after the group it is tied to (the CIV one in this case). The '%' is just a marker, to say this is a new group for which the column density for this line will be the total, and all the following ones with a captial letter corresponding to the lower case ones above are to be tied, so that the SiIV/CIV ratio for each component is constrained to have the same value. The total column density ratio SiIV/CIV is allowed to vary, and a best-fit value sought.

If you have any spare ions in either system, as for the first CIV here, do not place them between the two tied blocks. That CIV won't be regarded as part of the total, but if you want totals you can either include anothe tied SiIV and include both in the tied blocks, or add them later by hand.

This will result in the first lines for each ion containing TOTAL column densities for that ion in the complex, and, in this example, the SiIV and CIV are constrained to have the same ratio in each velocity component. Note that for your first guesses you don't have to get the ratios right – the program sorts it out by making all ratios match the first before starting to iterate.

Note forcing the ratios to be the same will affect the error estimates .. things are no longer independent. The errors flagged with '%' are those in the total column density given the ratios between components as error-free (I think).

As in the previous section, the column densities for the first lines for each different ion are adjusted if they are less than the sum of those further down the list.

Again the order is vitally important, and here the '%' block must immediately follow the base block i.e. in this example, the SiIV block immediately follows the CIV block, with the same redshift order. You cannot put other ions between the blocks, or within the blocks, since the association is done by positions within the blocks. If there are other blended lines, they can be listed either before or after the tied blocks.

Also, the number of components for both ions must match. In this example, if there are 2 CIVs, there must be 2 SiIVs. If there were 5 CIVs, then you would need 5 SiIVs. Use the stronger ones as the base ones and you should be able to avoid problems with weak lines being thrown out by the program.

You can extend this to several groups, so e.g.

```

C IV 14.63x 2.765821a 12.69i 0.00 1.00E+00
C IV 13.89x 2.765965b 17.73j 0.00 1.00E+00

```

```

SiIV 13.96% 2.765821A 12.69I 0.00 1.00E+00
SiIV 13.10X 2.765965B 17.73J 0.00 1.00E+00
H I 15.67% 2.765821A 12.69I 0.00 1.00E+00
H I 14.34X 2.765965B 17.73J 0.00 1.00E+00

```

would result in CIV, SiIV and HI all following the same relative column density pattern, with the first for each ion being the sum as before.

## 12 Higher accuracy Voigt profile

A higher accuracy Voigt profile routine has been developed by Julian King at UNSW. It has the huge advantage over other methods that it is not significantly slower than the relatively inaccurate interpolation routine which it replaces, but the Voigt function error is now  $\lesssim 10^{-5}$  (*J. A. King, Honours thesis, UNSW, 2006*).

Julian King has also implemented the Levenberg-Marquardt method to iterate towards a solution (see e.g. Burles & Tytler, ApJ, 499, 699, 1998 for an application of this method to an absorption spectrum, and Press et al. 1992, *Numerical Recipes*, Cambridge University Press for a description). This is an improvement over the Gauss-Newton method which has been used previously, in that VPFIT is less likely to wander around aimlessly in parameter space in difficult cases, and take fewer iterations to find a solution. However, when this method is used there are more function evaluations so there is a time penalty. The Julian King implementation avoids most of this by using the Gauss-Newton method when appropriate, and switching to Levenberg-Marquardt when needed. If you set the verbose level to 9 or above (see Section 7 for setup parameters such as this), VPFIT tells you when it is using the different methods, and gives parameters associated with them.

## 13 Reliability of parameter and error estimates

Generally the parameter and error estimates are reliable, given the model. A range of tests were done many years ago using simulated data to verify this, with the conclusion that the parameter estimates are unbiased and the estimated errors are representative of those for the parameter taken in isolation. The only exception was the Doppler parameter for damped profiles, which was not surprising since the temperature-independent Lorentzian wings dominate the profile, so the minimum of  $\chi^2$  with respect to the Doppler parameter is very wide and flat.

However, in particularly difficult cases the parameter error estimates, and maybe to a lesser extent the final parameters themselves, seem to depend on whether or not you are using optimized code compiled with the `-ffast-math` flag. The example below is extracted from a fit which involved 37 different systems (because of blends etc.) where the line is considerably narrower than the instrument profile of 6 or 7 km s<sup>-1</sup> (depending on the spectrograph used - the fit was done using spectra from both HIRES and UVES). It is not at all clear what should be done about this, but as a warning here are some results from the same machine (a Dell Latitude D610) and the same startup file with the flags in the makefile for VPFIT set as indicated:



```

FFLAGS= -ffast-math -Wall
C I  1.7765227918ab  0.0000012937  0.51015bb  0.08041  13.119485  0.128159
C I* 1.7765227918AB  0.0000000000  0.51015BB  0.00000  12.051059  0.071778

FFLAGS= -O5 -Wall
C I  1.7765237554ab  0.0000043223  0.52552bb  0.41552  13.162430  0.228997
C I* 1.7765237554AB  0.0000000000  0.52552BB  0.00000  12.046898  0.085709

```

-O3 on the Dell, and the compiler on a Sun give the same results as the -O5 case. These are unlikely to be independent tests however!

80 simulations were run using the first version, and, at least for that version, the error estimates seem to be reasonably reliable. A smaller set of 14 simulations using -O5 on the Dell machine gives a mean Doppler parameter  $\bar{b} = 0.515$  and a RMS of 0.078, so the error estimates from the fast-math set seem to be more reliable. The mean error from the -O5 simulations was 0.13, so where the 0.42 estimate came from in the fit to the real data is anybody's guess.

Another thing which was tried was to obtain error estimates for the same parameter estimates for the two optimizer options. They are different as well: 0.083 for -ffast-math, and 0.127 for -O5.

What should we conclude from this? Apart from reinforcing the general lesson of not trusting anybody's computer code, including (and probably especially) one's own? Probably that much more testing is needed, but at least the error estimates provided by VPFIT range from being reasonable to fairly high overestimates for the case considered here.

## 14 Atomic data

### 14.1 File format

The atomic data file is usually called *atom.dat*, but can be anything. It is the file pointed to by the environment variable **ATOMDIR**. The format accepted by VPFIT is as shown between the horizontal lines.

Ion	$\lambda$ (Å)	$f_{ik}$	$\Gamma$	mass (amu)	comments
??	1215.6701	0.416400	6.265E8	1.00	No ID
>>	1215.6701	0.416400	6.265E8	1.00	
<<	1215.6701	0.416400	6.265E8	1.00	
--	1215.6701	0.416400	6.265E8	1.00	
H I	1215.6701	0.416400	6.265E8	1.00794	Morton(03)
H I	1025.7223	0.079120	1.897E8		
H I	972.5368	0.029000	8.127E7		
....					
PbII	1433.905	0.870	1e8	206	Kurucz ab=4
end	0000.000	0.000000	0.00000	0.000	Terminator

The atomic mass need be entered only where an element appears for the first time.

The H I could equally be HI. The assumed format for any ion is one or two characters describing the element, and up to four characters starting with a capital letter describing the degree of ionization. So, if you want some descriptor for molecular hydrogen, for example, then you might have

```
H2J=5 1017.836 0.02360 1.180E9
```

The four characters for the degree of ionization may sound limiting, but there have not been howls of protest so it has remained that way for decades.

The format could be a restriction if you are dealing with molecules, but you can use some other descriptor. The requirements are that the 2-character 'element' be a capital letter followed by anything which is NOT a capital letter, and the ionization level a capital letter followed by any three characters. The appearance of the first upper case letter in positions 2 or 3 of the character string is taken as the start of the ionization level. So e.g. SiII is interpreted as the element Si with ionization level II, but SIII is interpreted as element S, ionization III. H2J=5 becomes 'element' H2, 'ion' J=5.

The wavelengths, oscillator strengths etc. are then strung out along the same line in the file in any convenient format to any convenient precision, with at least one space between each quantity to separate them. They are held internally as double precision variables. Comments can appear after the required information - these are ignored. If you look at the *atom.dat* file provided you will see what is allowed.

### 14.2 Special ions

In the example above the ion list started with some strange ones - ??, >>, << etc. These are handled somewhat differently from the normal ions which appear further down in the list in this example, and all have been introduced to help handle either our own

ignorance (unidentified lines) or deficiencies in the data. We deal with each in turn.

### 14.2.1 Unidentified lines

The ion labelled '??' is simply a marker for an unidentified line. It can have any wavelength, oscillator strength,  $\Gamma$ -value and mass you choose, but in the default atomic data file it has the same values as for Ly $\alpha$  (apart from the mass, which is irrelevant). The only advantages this has over calling the ion 'H I' is that there are no associated higher order Lyman lines, and that it is easy to see where you have still to search for identifications. It can be useful to just create a file of the '??' lines and look for common line ratios in the hope of finding a further absorption system.

### 14.2.2 Region wavelength shifts

The >> tag is handled differently, and is used to check for, or allow for, wavelength shifts between spectral regions which might arise if the wavelength calibration has not been done properly. Obviously normally the best thing to do under these circumstances is to go back and redo the wavelength calibration more carefully, but this is not always possible.

If the line (fort.26 format)

```
>> 2.428120SA 0 1.25 0 1.00SC 0
```

appears in the startup (first guesses) file, then VPFIT applies a velocity shift to each region in which the redshifted 'line' falls (initially with a shift of 1.25 km s<sup>-1</sup>) when fitting. The main use for this is when you suspect the wavelengths might be in error, and are fitting more than one region with lines from an ion, or system, spanning those regions.

For example, if you are worried about a shift between CIV 1548 and 1550, which might arise either because of poorly known rest CIV wavelengths or because of poor wavelength calibration, you might use the following:

```
%% datafile      1  4174.3265  4176.5921
%% datafile      1  4181.2306  4183.6780
C IV      1.696697   0.000011   6.70      3.60  11.999   0.461  0 !
C IV      1.696836   0.000039  15.44     6.34  12.486   0.183  0 !
C IV      1.697105   0.000000   8.28     0.05  13.838   0.002  0 !
>>        2.440478SZ  0.000000  -0.49     0.03   1.000SH  0.000  0 !
```

In this case the reference region is CIV 1548, and the region containing CIV 1550 also contains the >> 'line', so is shifted by -0.49 km s<sup>-1</sup> with respect to the reference region to start. The value will change at each iteration for an overall best fit.

In this case the shift could be from poor CIV rest wavelengths. The estimate here of -0.5 km s<sup>-1</sup> was from data on HE0515-4414 using the Morton wavelengths (ApJS, 149, 205, 2003), while Petitjean & Aracil (A&A, 422, 523, 2004) suggest a double separation of ~ 0.7 km s<sup>-1</sup> less than the Morton value. Revised wavelengths have now been included

in the `atom.dat` file, so if you are using this one don't be surprised if the results are not compatible with any previous values.

You can also use the `>>` flag to allow for possible wavelength shifts between two datasets for the same line by adding a non-zero integer to the parameter line to tell the program which file you may want to apply a correction to. For example if you were just looking at the CIV 1550 line in the dataset above, but had two files 1 & 2 and were suspicious about the wavelength calibration for the second, you could use

```
% datafile1      1   4181.2306   4183.6780
% datafile2      1   4181.2306   4183.6780
C IV      1.696697   0.000011    6.70      3.60  11.999   0.461  0 !
C IV      1.696836   0.000039   15.44     6.34  12.486   0.183  0 !
C IV      1.697105   0.000000    8.28     0.05  13.838   0.002  0 !
>>       2.440478SZ  0.000000    0.00     0.00   1.000SH  0.000  2 !
```

The program then compute the best fitting shift in wavelength to make the CIV line in `datafile2` to agree with `datafile1`. The '2' in the last column for the `>>` component tells the program to apply that 'line' only to the second file+region in the region list.

Note that if you are using this mode you should not apply a wavelength shift to all regions - the values are then unconstrained!

### 14.2.3 Continuum adjustment

Precise continuum estimates can be difficult in crowded regions e.g.the Ly $\alpha$  forest in QSOs, so it is quite likely that the continuum estimates there are not correct. You can adjust the continuum level using a linear multiplicative factor. It is based on false elements as tags, and the line in the `fort.13` format file looks like:

```
<> level redshiftN linterm(M) 0.0 0.00E+00 (region number).
```

The value of `level` is usually near unity [it is what the continuum is multiplied by at the wavelength corresponding to  $(1+\text{redshift})\times 1215.6701$ =reference wavelength, which must be within the relevant wavelength chunk], and the `redshift` MUST be fixed. The `linterm` value may be fixed (usually at zero, for a constant rescaling of the continuum) or may be left to be determined by the program. The input continuum through the fitting region is multiplied by  $(\text{level} + \text{linterm}\times(\text{wavelength}/\text{reference wavelength} - 1))$ , so for small adjustments `level` is somewhere vaguely near unity. You can input this interactively as well. In cursor mode it asks if you want to fit the slope or not, and you fix it (at zero) by pressing the left mouse button or the central one, allow it to vary by pressing on the right mouse button. Be warned – it is all too easy to have a continuum change trade off against a broad line, so it is probably best to use this only for (small) final adjustments to the parameters. If these final adjustments are not small, worry about the reliability of your results.

As an example using the alternative input (`fort.26`) format

```
% datafile      1   4174.3265   4176.5921
% datafile      1   4181.2306   4183.6780
```

C IV	1.696697	0.000011	6.70	3.60	11.999	0.461	0 !
C IV	1.696836	0.000039	15.44	6.34	12.486	0.183	0 !
C IV	1.697105	0.000000	8.28	0.05	13.838	0.002	0 !
<>	2.440478SZ	0.000000	0.00	0.00	1.000	0.000	0 !

In this case a correction to the input continuum is applied to the region(s) containing the wavelength  $(1+z) \times 1215.6701 = 4182.486$  Å, so the one containing the CIV 1550 lines.

The **region number** parameter is rarely used. If it is zero it is ignored, but for a non-zero value the continuum adjustment is applied only to the region corresponding to that number, independent of effective wavelength. In the example above if the final zero were 2 in the <> line it would have the same effect. Note that you still need a reference redshift for the linear term in the continuum adjustment. It can be used where there are overlapping regions at e.g. different resolutions used in the fit, in cases where the continuum adjustment would otherwise be applied to more than one fitting region.

#### 14.2.4 Zero level adjustment

It is not at all uncommon for there to be some residual flux in the bottoms of what appear to be completely saturated lines, and in such cases the automatic line addition feature (see section 6.4) just stacks in lots of spurious components to try and make the overall profile.

If you use the 'line' -- (1215.67 assumed wavelength, to make life easy (?), but you can change it in the atom.dat file), then the continuum is left where it was, and the fitted spectrum stretched linearly to the new zero level. Note that the first parameter **zero-level** is now expressed as a fraction of the continuum, rather than put on a scale where it is forced to look like a column density, so the line in fort.13 now looks like:

```
-- zero-level redshiftN b-value 0.00 0.00E+00 n
```

where the zero level adjustment will normally be around zero (it may be negative), and will be less than 1! As before, N is a sample letter to fix the redshift, which should be such that  $1215.67 \times (1+z)$  is within the region of interest. The number n is the dataset number for which the zero level is to be adjusted (1 for first region, 2 for second, etc.), and this is not needed if there is only one region which contains the wavelength  $1215.67 \times (1+\text{redshift})$  so is usually set to zero (= ignore it). It performs a linear fit of the form **zero - level** + **b - value** \*  $\Delta\lambda / 1215.6701 \times (1 + \text{redshift})$ , and you can fix either of the other two values (indeed, it usually is best to set the **b-value** to zero and fix it, but occasionally you might want a slope).

Note that the zero level adjustment applies to the input spectrum received at that point, so all the real ions with lines in that region should appear higher in the list than the '--' one. Continuum adjustment matters less, but it is probably best to adopt a policy of putting the '--' last just for internal consistency.

### 14.3 Extra parameters

For those interested in fine structure constant or electron/proton mass changes with redshift a further column has been added, as an optional extra. For these the format is

Ion	$\lambda$ (Å)	$f_{ik}$	$\Gamma$	mass	$q$	comments
#q						
??	1215.6701	0.416400	6.265E8	1.00		No ID
>>	1215.6701	0.416400	6.265E8	1.00		
<<	1215.6701	0.416400	6.265E8	1.00		
--	1215.6701	0.416400	6.265E8	1.00		
H I	1215.6701	0.416400	6.265E8	1.00794		Morton(03)
H I	1025.7223	0.079120	1.897E8			
H I	972.5368	0.029000	8.127E7			
....						
FeII	2600.1729	0.2394	2.70e8	55.847	1356	M03g
FeII	2586.6496	0.069125	2.72E8	55.847	1520	M03g
FeII	2382.7652	0.320	3.13E8	55.847	1498	M03g
FeII	2374.4612	0.0313	3.09E8	55.847	1640	M03g
....						
PbII	1433.905	0.870	1e8	206		Kurucz ab=4
end	0000.000	0.000000	0.00000	0.000		Terminator

Here the effective  $q$ -value is in  $\text{cm}^{-1}$  (as defined by Murphy et al., MNRAS, 345, 609, 2003). Note that the atomic mass is now needed for every line where there is a non-zero  $q$ -value, but if it is zero (or some character string which would be interpreted as zero) then it will fill in the mass from a previous value for the same atom. With this format those who are not interested in  $\alpha$  or  $\mu$  don't have to change their **atom.dat** files, or even know the capability exists. As before, you can string numbers out along the line with any convenient spacing (don't use tabs though), and add any comments [anything after column 7, non-numeric before].

A warning is given if an attempt is made to use an extended atomic data file of this form when there is not enough space allocated for the number of variables needed. It happens early on when VPFIT is reading in the atomic data, so look out for it! There are two possible causes:

1. The setup file (see section 7) does not contain

```
NOVARS 4
```

which tells it there are four variables per line in the list, not the usual three.

2. Not enough space was allowed for the fourth variable when the program was compiled. To remedy this in the file *vp\_sizes.f* set

```
* MAXimum Parameters Per System
  integer maxpps
  parameter ( maxpps = 4 )
```

if the value had previously been 3.

If flagged by the letter ‘q’, or ‘Q’ for tied values (using the usual two-letter sequence, with the second letter changing for different tied sets), the fourth parameter is taken as the  $\Delta\alpha/\alpha$  value (internally scaled up by a factor of  $10^6$ ) which applies to that transition. Normally the same value for this quantity should apply to all ions at a given redshift. To achieve this, tie the appropriate values in the usual way. Where there is no potential line shift due to possible changes in  $\Delta\alpha/\alpha$ , the values should be fixed at zero.

The format of the output for  $\Delta\alpha/\alpha$  can be changed. If you want values in units of  $10^{-5}$ , for example, then in the VPFSETUP file use

```
daoaunit 1E-5
```

The default is actual  $\Delta\alpha/\alpha$  values, i.e. daoaunit 1.

For molecular hydrogen the wavelength shifts are defined slightly differently, with

$$\lambda_i = \lambda_i^0 \left( 1 + K_i \frac{\Delta\mu}{\mu} \right)$$

In the atomic data file for the ‘element’ H2 the extra parameter for each transition is just the  $K_i$  value, and the fitted  $\Delta\mu/\mu$  values are subject to the same display (and internal) scalings as  $\Delta\alpha/\alpha$  above. The tied flag for H<sub>2</sub> shifts is m or M replacing the q or Q above.

If you want the region by region flux statistics for computing a lower limit to the error in  $\Delta\alpha/\alpha$ , then in the VPFSETUP file put

```
WR26FS
```

and they will be written to the fort.26 output summary file.

## 15 Fitting simulated data

### 15.1 Single wavelength region fits

There is nothing different required for fitting simulated spectra. You can go through as for a real spectrum, and obtain fit parameters. However, you probably want to do this many times, for similar wavelength regions with similar line identifications, and don’t want to repeat the same mindless set of operations for each of them. At least for simple cases there are some ways of easing the burden.

The first thing to do is set up a file containing a list of all the spectra you want to fit, giving the fit regions. Here we’ll call it `input.13` and it might look like:

```
%% spectrum1 1 3535.23 3540.09
%% spectrum2 1 3535.23 3540.09
%% spectrum3 1 3535.23 3540.09
%% spectrum4 1 3535.23 3540.09
.....
```

where there is a blank line between each of them in this example. You could put in first guesses to replace the blank lines if you wish, e.g. for OVI 1032:

```
0 VI      2.42812    0    11.0    0 13.0    0.0
```

in all cases, but that is not necessary. You can specify which line to use instead in the setup file (pointed to by the environment variable VPFSETUP, see 7). If the blank lines are left in, the setup file should contain

```
guessline OVI 1031    Line guesses are given feature (default is Ly-a)
```

Since there are no initial estimates for the Voigt profile parameters, VPFIT then guesses some for itself based on a crude separation into distinct components, assuming that all are identified as the line specified by the `guessline` setup parameter. The default if `guessline` is not specified is Ly $\alpha$ .

You should also ensure that the output control setup parameter

```
! ----  output control
! wr26s f26.w          fort.26 summary root filename
```

are commented out, as here, or removed from the setup file. This ensures that the output summary is written sequentially to a single file - `fort.26` whether you like it or not - and that successive fits don't overwrite the previous ones! It will produce a giant results file `fort.26`, which you **absolutely must** copy somewhere before restarting the program else the results will be overwritten. I have tended to edit the input file to do things in manageable chunks (of say 100 spectra at a time), and then append this to an accumulated results file before restarting.

Then, when you run VPFIT the initial sequence will look like, where the `>` at the beginning of a line shows where the user provides input:

```
....

Default line is 0 VI      1031.9261

....

options:  <CR> for previous value
I - interactive setup and fit
F - run from an input file
D - display profiles from input file
? for help
option (key) (key)...
> f ad ec 14.5 1.0

Add lines if prob(tot) < 0.010000    or prob(KS) < 0.00000001

Try removing lines at end if logN < 14.50 and err(logN) > 1.00

Column density (n), logN (l) or emission (e), scalefactor [1, 1.0]
>
Parameter input file, # entries? [fort.13,1]
```



```
> input.13,999
```

```
filename      : spectrum1
spectrum number: 1
ASCII file input
Resolution 6.7 km/s
260 data values
rms set to error values
Start & end chans: 60 203
1 regions fitted
1 features found
O VI 13.0310514 2.42811519 13.4834161
no. of ions for fitting is 1
```

```
ion          N          z          b          bturb  temp
iteration   : 0 ( 1 )
chi-squared :          1.243 (          175.2894, 141 )
O VI      13.03105      2.4281152      13.4834      0.00  0.00E+00  0 !  1
.....
```

You need to tell the program that there is more than one dataset, and the

```
> input.13,999
```

will make sure up to 999 fit sets are read (if you have even more, make the number larger!). It will ask you if you want to look at the last one in the list only if the number given matches the number of datasets. You can use option 9 in the program to check that you like the look of the results it obtains if you are worried.

The fort.26 file will contain something like:

```
%% spectrum1      1  3535.2300  3540.0900  !
! Stats:  3      1.2011177  144  141  0.052  0
O VI      2.428119  0.000008  10.88      1.03  13.001  0.031  0 !
%% spectrum2      1  3535.2300  3540.0900  !
! Stats:  ....
```

where the 'Stats' line gives, in order, number of iterations, normalized  $\chi^2$ , data pixels covered, degrees of freedom for fit, and the approximate probability that the fit describes the data.

There will be some regions where the fitting process fails. These are easily identified by looking through the fort.26 file to see where the final fit probability is too small. You'll need to see if you can provide better initial guesses for these ones by hand.

The input file containing the filelist and regions with blank lines can be a slight pain to set up initially, but once you have one then if you set up filenames in sequence with different names and regions then global edits can be used to provide such files for different simulations.

### 15.1.1 Single file multiple simulations

You'll need something to convert whatever data format you have to a FITS file, with many spectra covering the wavelength same region. The FITS file now contains a 2-D dataset, with each spectrum a single x-slice. There is a program `bintofits` included in case you have something in the right input format (it was written for Matteo Viel), so you can see what might be involved. The following instructions apply for that program, and for single zone Ly $\alpha$  only simulations, so some things are quite specific:

Assuming that you have blocks of simulations covering the same wavelength range, you can run a preprocessing program to convert to FITS data and error files and then feed these into a multi-run VPFIT fitting procedure. As things stand:

- You need a simulation at a given redshift with a velocity range covering whatever Matteo said it was, as a binary data file of spectra with the maxima at the ends of the range, convolved with the instrument profile at UVES/HIRES resolution, with noise added, and a signal vs error lookup table in ASCII. If an error file is provided in the same format as the data file, just run the conversion program `bintofits` on the error file as well to convert it to a fits file, but make sure you don't overwrite the fit guess file which ALWAYS goes to a file called `fort.13` - so you might do the error file first. If you do, then if the datafile is to become `<filename>.fits`, then the error file should be `<filename>.sig.fits` if you don't want to edit the header in the data fits file.
- Run the program `bintofits` to convert from binary to fits form. This program generates a datafile with an extended spectrum so that the convolution with the instrument profile in `vpfit` does not give funny results, also an error file and a fit guess file for `vpfit`. The data file has the required information on wavelengths, errors, resolution etc in the header, so no extra header editing should be needed. It asks you for the binary filename, the output filename (with a suggested default), and the ASCII signal vs error table filename(which may not be needed). The fit guess file should look like (for redshift  $z = 3$ )

```
%% filename 1 4862.680 4888.040
```

```
%% filename 2 4862.680 4888.040
```

```
%% filename 3 4862.680 4888.040
```

```
%% filename 4 4862.680 4888.040
```

```
.....
```

For  $z = 2.75$  the wavelength limits should be 4558.76 and 4582.60, though since they are just ends of fit ranges they don't have to be too precise. If they are not what you expect, then do a global edit on the `fort.13` file.

- `setenv VPFSETUP vp_setup.dat` where `vp_setup.dat` might contain the following, and in particular remove any reference to `wr26s` in that file, otherwise it will continually overwrite the results of one spectrum on top of the results of the previous one, and you'll lose the information. You should also set

```
maxadrem 50
```

or something similar, since in some cases it requires more than the default number of add/remove line cycles (25) to converge. I also commented out the add continuum parameters option so that continuum adjustment do not occur.

```
! adcontf 2.2 ...
```

You might also add

```
nopchan 0
```

to suppress lots of the intermediate output. Then you won't get output to `fort.18` (the full file giving each iteration result) and the `fort.15` file (last iteration). The `fort.26` summary file is still written at the end of each spectrum fit and that is the sole location for the results in this mode, and enough appears on the screen for you to see if the program is getting nowhere. If you want to see what is happening on the screen, use `nopchan 1`, if you want it on the screen and to `fort.18` use `nopchan 2`, and if you want everything use `nopchan 3`.

- Run `vpfit` using as responses to the queries:

```
f ad 0.0025 0.0005 ec 14.5 2.0
<CR>
<input file (e.g. fort.13)> 1000 -- or however many you want in
                               this block, starting
                               with the first.
```

If you run a very old version it will not recognize the wavelengths, which are set up as header variables in the form

```
GLWBASE 3.686535639476      Global log wavelength base
GLWINCR 2.267008475368D-06 Global log wavelength increment
```

- This will produce a giant results file `fort.26`, which you absolutely must copy somewhere before restarting the program else the results will be overwritten. I have tended to edit the input file to do things in manageable chunks (of say 100 spectra at a time), and then append this to an accumulated results file before restarting.

#### Assorted notes:

If you want to stop a series of fits, then

```
touch stop_series
```

in the directory you are operating from will terminate the program cleanly. `touch stopit` will stop the iterative fit (adding and subtracting lines) for the current spectrum at a

convenient point - the program should then go on to the next one. touch stop stops the current parameter search as if it had converged to a chi-squared minimum.

Note that, as with data from the telescope, the vpfits program will fail to converge to an acceptable answer in about 5% of the cases (the precise number depends on the column density distribution function and the line density), and so some hand restarting is necessary for these. If you

```
grep ' 0.000 ' resultsfile | wc
```

or, if the default setup is used, you should find that the summary file has bad fits labelled with the word 'BAD', and so

```
grep 'BAD' resultsfile | wc
```

you can see how often these occur. You can refit those regions and modify the accumulated results file as appropriate. A fairly common reason for failing to converge is that there is a saturated line which it is having trouble sorting out. I half expect an excess of lines at around  $\log N=17$ , since when fitting Ly-alpha only where it is on the flat part of the curve of growth noise fluctuations can drive the fits to either end of the flat part. You need more lines in the Lyman series to overcome this problem.

### Convergence and run time:

Its a bit slow, and takes about 3 CPU mins per spectrum on a 2.4GHz laptop. With the suppressed output there is a gain of about 30% in speed, so we wind up with a little over two days per 1000 spectra. You can relax the convergence criteria at each iteration. In the `vp_setup.dat` file the line

```
chisqthres 0.001 4.0 0.01
```

results in the iterations terminating if the relative  $\chi^2$   $[(\chi^2(new) - \chi^2(old))/\chi^2(new)]$  changes by less than 0.01 if the normalized  $\chi^2$  is above 4.0, and less than 0.001 otherwise. Those numbers are the defaults. I would not increase the last much (I tried, and the program gets a bit lost), but you might try 0.001 2.0 0.01 to speed things up a bit.

## 15.2 Multiple wavelength regions

### 15.2.1 Single ions

The spectral file list with fitting regions method described above in Section 15.1 can be extended to cover more than one region if a single ion is involved. If the list looks like:

```
%% spectrum1 1 3554.73 3559.61
%% spectrum1 1 3535.23 3540.09
```

```
%% spectrum2 1 3554.73 3559.61
%% spectrum2 1 3535.23 3540.09
```

.....

then the behavior is as above, but both regions, and so both lines of the OVI double in this example, are fitted. The initial guesses are based on a search of the last region in the list before the blank line ONLY, but the fits include all regions.

### 15.2.2 Two ions

If you have reason to believe that some ions are linked, e.g. HeII and HI in the example here, then you can take the analysis further, for either simulations or real data. This involves more setup file parameters:

```
xlink HI 0.0 HeII 2.0
turbulent
nofix
```

The interpretation of these lines is:

1. Crosslink HI and HeII, such that if the line adding routine within VPFIT adds HI, for example, then HeII will be added at the same redshift with a column density such that  $\log N(\text{HeII}) = \log N(\text{HI}) + 2.0 - 0.0$ .
2. The HeII and HI Doppler parameters are linked through turbulence i.e. there is no atomic mass dependence. If this is absent then thermal linkage is assumed.
3. If a base line is rejected in subsequent iterations, then the associated line (denoted by upper case letters against the redshift) is also removed. So no systems are left as having fixed redshifts.

Then for the default line ID ( $\text{Ly}\alpha$ ) the input.13 file can then look like:

```
%% flux_He2    1    1032.850    1037.920
%% flux_H1     1    4133.2800   4153.5200

%% ...
```

In principle this could be extended to more than two ions, but this has not been tried...

## 16 Fitting the Ly $\alpha$ forest

Automatic fitting of single regions with a known line is handled by setting up startup files with just those regions in. In the default mode the file

```
%% q2000_norm_sp.fits 1 5502.57185 5513.57833
%% q2000_norm_sp.fits 1 4641.05459 4651.89758
%% q2000_norm_sp.fits 1 4399.58159 4410.39294
...
```

could be used with VPFIT, and then with the usual startup

```
....
options:  <CR> for previous value
I - interactive setup and fit
F - run from an input file
D - display profiles from input file
? for help
option (key) (key)...
> f ad

Add lines if prob(tot) < 0.010000   or prob(KS) < 0.00000001

Column density (n), logN (l) or emission (e), scalefactor [1, 1.0]
>
Parameter input file, # entries? [fort.13,1]
> test.13 3
...
```

the program generates its own list of Ly $\alpha$  lines for each region and adds more until it obtains a satisfactory fit (or gives up because it can't). Each of these regions is fitted independently, and the results go to the summary file.

However, these regions were chosen to correspond to Ly $\alpha$ , Ly $\beta$  and Ly $\gamma$  centered on the same redshift ( $\sim 3.53$  in this case), and what one might really like to do is fit them automatically together. If all have strong lines just putting the longest wavelength region (Ly $\alpha$ ) last in the list and omitting the blank lines between them so they are all fitted together does not work very well. Ly $\alpha$  lines are put in for the last region only, and the other regions are then fitted so badly that the program can (i.e. does) lose its way completely.

An alternative procedure is to use the input file given above and fit the regions independently, but ensuring that the lines found in the higher wavelength regions are put into the continuum for the one which is being fitted. This can be done by

```
....
options:  <CR> for previous value
I - interactive setup and fit
```

```

F - run from an input file
D - display profiles from input file
? for help
option (key) (key)...
> f ad cum test.cum

Add lines if prob(tot) < 0.010000   or prob(KS) < 0.00000001

Column density (n), logN (l) or emission (e), scalefactor [1, 1.0]
>
Parameter input file, # entries? [fort.13,1]
> test.13 3
...

```

The effect of the `cum test.cum` is to write the results cumulatively to the file `test.cum`, and every time a new fit is started within VPFIT the parameters are read from this same file and applied to the continuum before the fit is undertaken. So, when fitting the  $\text{Ly}\beta$  region in the example above, the results of the  $\text{Ly}\alpha$  fits are put in as  $\text{Ly}\beta$ s in that region, and then  $\text{Ly}\alpha$  lines added to that region as needed. You can continue this process up the Lyman series if you want to. The test case shown here stops at  $\text{Ly}\gamma$ .

The result of this procedure is not likely to be wonderful either, since the first  $\text{Ly}\alpha$ s were fitted without the higher order line constraints, and you need those particularly for the higher column density systems. You can fix this by going around again, with a new input file of first guesses from `test.cum` which you can make by

```

$ grep '%' test.cum > restart.13
$ grep -v '%' test.cum >> restart.13

```

You now have a file which looks like

```

%% q2000_norm_sp.fits      1  5502.5719  5513.5783  !
%% q2000_norm_sp.fits      1  4641.0546  4651.8976  !
%% q2000_norm_sp.fits      1  4399.5816  4410.3929  !
! Stats:   6    0.9356639  220  185  0.725  0
H I   3.5280373082 0.0000040424  24.00206  0.42508  14.153667  0.006331  0 !
H I   3.5302387347 0.0000047564  23.60935  0.31797  13.773950  0.006908  0 !
H I   3.5319355734 0.0001543133  22.66707  6.33160  13.567904  0.331476  0 !
....

```

which is now a first guess file for restarting VPFIT, now doing a fit to all the lines simultaneously over the regions.

```

....
option (key) (key)...
> f ad

Add lines if prob(tot) < 0.010000   or prob(KS) < 0.00000001

Column density (n), logN (l) or emission (e), scalefactor [1, 1.0]
>

```

```
Parameter input file, # entries? [fort.13,1]
> restart.13
....
```

There is still room for confusion - metal lines and bad zero levels are potential problems. You can avoid metal lines by having their parameters in the `test.cum` file before you start. The trouble then is that you have to strip out that information from the `restart.13` file when you are creating it. Zero level adjustments can be edited into the `restart.13` file if you need to, but that has to be done by hand.

This process can be slow with the default startup parameters for VPFIT. To speed things up for echelle forest spectra in the VPFSETUP file use

```
! ---- internal substepping ----
nsubmin 1          minimum number of subpixels per pixel
nsubmax 1          maximum number of subpixels per data pixel
```

otherwise spurious narrow lines force internal substepping. If you want to you can reset `nsubmax` to a larger number for a final go-through.

There is still a hand-held component to all this, and that is setting the fitting regions and generating the initial startup files. One way of doing this is to use RDGEN in the cursor plot mode ('pg'), setting region boundaries by cursor position (using 'b' in the plot window) for Lyman lines plotted in stack velocity mode. See the RDGEN writeup for details of this.

While this is OK for single sets of regions, and so be used for the Ly $\alpha$ -only region of the forest, it is still difficult to fit the whole forest self-consistently in this way. With individual region sets lines identified as Ly $\alpha$  near e.g. a Ly $\gamma$  of interest may well be Ly $\beta$ s. If you want to do it properly there are too many linked regions by the time you get a reasonable way down the Lyman series. If anybody wishes to suggest a solution to this problem I'd be glad to hear about it.



## A Ancillary programs

### A.1 FITS header editing

There is a simple program HEDIT for adding (or removing) items from FITS headers for those who don't have the capability on their machine e.g. through IRAF. On linux machines 'make hedlx' will compile and link it, after the makefile has been edited to reflect where the CFITSIO library is held.

Then

```
./hedit
```

will run it. There is one request input line

```
Filename, variable, value, action?
```

Entering a '?' then gives

Parameters are:

- 1: full filename (including the .fits)
- 2: keyword to be added/removed/updated
- 3: value associated with the keyword  
    this is stored as a character string or  
    a double precision variable
- 4: update (u), delete(d) or full list (l)  
    must be lower case

If 4th parameter omitted, update is assumed  
unless 2nd and 3rd omitted as well, in which  
which case an abbreviated keyword list is given  
(HISTORY, HIERARCH & ESO omitted)

... which about says it all. The 'u' option will update a keyword if it is already in the FITS header, and add it if it is not.

For example, to add 'RESVEL 6.6' to a fits header in a file example.fits,

```
./hedit
```

```
Filename, variable, value, action?
```

```
example.fits RESVEL 6.6 u
```

## B Rebinning and combining spectra

### B.1 Error estimates

We assume that in each raw data channel  $i$  we have a signal  $f_i$  with a Gaussian distributed error  $e_i$ . We wish to rebin the data on to a new channel set, and assign weights accordingly. We suppose that the initial data bins are contiguous, and for the final ones this is also true - the example we have in mind is rebinning spectroscopic data on to a uniform wavelength or velocity scale.

If the original chosen bin  $i$  is such that some fraction of it  $w_{ij}$  falls in to the  $j$ th bin in the rebinned data, then it adds  $w_{ij}f_i$  to bin  $j$ <sup>1</sup>. Hence  $\sum_j w_{ij} = 1$ , and we are conserving the total signal in the entire spectrum with this scheme.

The total signal in bin  $j$  is

$$F_j = \sum_i w_{ij} f_i \quad (1)$$

We know that *If the independent variates  $x_i$  ( $i = 1, \dots, n$ ) are normally distributed with means  $a_i$  and variances  $\sigma_i^2$ , the variate  $\sum c_i x_i$  is normally distributed with mean  $\sum c_i a_i$  and variance  $\sum c_i^2 \sigma_i^2$ .* (e.g. Weatherburn, C. E., *A First Course in Mathematical Statistics* CUP, 1968, p58.). Hence the variance in

$$F_j = \sum_i w_{ij} f_i \text{ is } \sum_i w_{ij}^2 e_i^2 \quad (2)$$

We can of course normalize this to anything we want - flux per wavelength bin, unit velocity ... by setting

$$G_j = \frac{F_j}{B_j} \pm \frac{\sqrt{\sum_i w_{ij}^2 e_i^2}}{B_j} \quad (3)$$

where  $B_j$  is the width of each  $j$ -bin. The  $B_j$  can also be divided by the exposure time or any other constant obviously.

The error estimate  $\sum_i w_{ij}^2 e_i^2$  provides an estimate of the expected deviation per pixel, but if we take  $n$  pixels and sum exactly into  $N$  new pixels then the **total** signal-to-noise ratio in the original pixellation is

$$\frac{\sum_{i=1}^n f_i}{\sqrt{\sum_{i=1}^n e_i^2}} \quad (4)$$

---

<sup>1</sup>Note that this weighting assumes that the flux within a pixel is distributed uniformly across the pixel. This is unlikely to be true in cases of interest i.e. where there is a spectral feature. However it is not obvious how to get around it - you'd need to model the spectrum which you have not formed yet, and so presumably know little about! A consequence of this incorrect sub-pixel weighting is likely to be small wavelength shifts relative to the true values in the rebinned data.

and in the new pixellation it is

$$\frac{\sum_{j=1}^N F_j}{\sqrt{\sum_{j=1}^N \sum_{i=1}^n w_{ij}^2 e_i^2}} = \frac{\sum_{i=1}^n f_i}{\sqrt{\sum_{j=1}^N \sum_{i=1}^n w_{ij}^2 e_i^2}}. \quad (5)$$

We can interchange the order of summation so the new pixel total S/N is then

$$\frac{\sum_{i=1}^n f_i}{\sum_{i=1}^n e_i^2 \sum_{j=1}^N w_{ij}^2} \quad (6)$$

Now each  $w_{ij} \leq 1$ , so  $\sum_{j=1}^N w_{ij}^2 \leq \sum_{j=1}^N w_{ij}$ , and so in general the total S/N estimate for the repixelated spectrum is higher than in the original data. This is not what we want if we want to maintain overall S/N in the data.

The requirement that the total S/N is the same over this range of pixels in either pixel scheme then becomes a requirement that

$$\sum_{i=1}^n e_i^2 = \sum_{j=1}^N \sum_{i=1}^n b_{ij} e_i^2 \quad (7)$$

where the  $b_{ij}$  are weights on the variances which we have to determine. Switching the summation order this becomes

$$\sum_{i=1}^n e_i^2 = \sum_{i=1}^n e_i^2 \sum_{j=1}^N b_{ij} \quad (8)$$

which can be satisfied for any  $n$  only if  $\sum_{j=1}^N b_{ij} = 1$ . But this, for any  $N$  (or  $n$ ) is exactly the condition we had on  $\sum_j w_{ij}$ , so this means that to maintain S/N over numbers of pixels we should have  $F_j = \sum_i w_{ij} f_i$  with an error estimate  $S_j$  given by

$$S_j^2 = \sum_i w_{ij} e_i^2. \quad (9)$$

So, in summary, for maintaining overall S/N, use

$$F_j \pm S_j = \sum_i w_{ij} f_i \pm \sqrt{\sum_i w_{ij} e_i^2}, \quad (10)$$

and for pixel-to-pixel fluctuations (e.g. which you would use in minimizing  $\chi^2 = \sum_j (F_j - \text{model}_j)^2 / \text{error}_j^2$ ) use

$$F_j \pm E_j = \sum_i w_{ij} f_i \pm \sqrt{\sum_i w_{ij}^2 e_i^2}. \quad (11)$$

Note that for summing different spectra using inverse variance weighting equation (10) should be used. Equation (11) if used overweights regions where the old and new pixels mesh badly. Then, for data normalized to the same scale, use inverse variance weighting when the variance =  $S_j^2$  for each set, i.e. for  $k$  as a label for each different spectrum

$$\mathcal{F}_j = \frac{\sum_k \frac{E_j^k}{(S_j^k)^2}}{\sum_k \frac{1}{(S_j^k)^2}} \quad (12)$$

and variance  $\mathcal{S}_j^2$  is given by

$$\frac{1}{\mathcal{S}_j^2} = \sum_k \frac{1}{(S_j^k)^2} \quad (13)$$

where  $(S_j^k)^2$  is the **population** variance for the  $j$ th channel in the  $k$ th spectrum. This is slightly different from the estimate of the variance based on the data. The next section explores this a bit further.

The fluctuations follow a normal distribution, so we can use the combination result to get final fluctuations

$$\mathcal{E}_j^2 = \frac{\sum_k \left( \frac{E_j^k}{(S_j^k)^2} \right)^2}{\left( \sum_k \frac{1}{(S_j^k)^2} \right)^2} \quad (14)$$

While these fluctuation estimates are correlated in rebinned spectrum, they do represent the expected values of the fluctuations in the data, so these are the appropriate quantities to use for the errors  $e_i$  when forming  $\chi^2 = \sum (d_i - f_i)^2 / e_i^2$ , where  $d_i$  is the data and  $f_i$  the fit at pixel  $i$ .

(As a check, in the unbinned case  $E_j^k = S_j^k$ , and then the last equation becomes  $\mathcal{E}_j^2 = \frac{1}{\sum_k \frac{1}{(S_j^k)^2}}$ , which is correct.)

## B.2 Biases in combined datasets

If we have a sample of  $k$  observations all drawn from the same population, with mean  $m$  and (Gaussian) error for the  $i$ th sampling  $\sigma_i$ , then for each observation we will have a value  $m + \epsilon_i$ , where  $\epsilon_i$  is distributed as

$$\frac{1}{\sqrt{2\pi}\sigma_i} \exp\left(-\frac{\epsilon_i^2}{2\sigma_i^2}\right) \quad (15)$$

Since neither  $m$  or  $\sigma_i$  are known, these are estimated from the data, with the data value  $d_i$  taken as an estimator for  $m$ , and the variance

$$s_i^2 \approx A_i \times d_i + B_i \quad (16)$$

where  $A_i$  is a scalefactor and  $B_i$  allows for additional noise contributions e.g. from sky or detector readout.

In combining the data to estimate  $m$  we take

$$D = \frac{\sum_{i=1}^k w_i d_i}{\sum_{i=1}^k w_i} \quad (17)$$

where  $w_i$  are the weights for each estimate.

To maximize the resultant signal-to-noise, then an appropriate weight is the inverse variance  $\frac{1}{\sigma_i^2}$ , so

$$D = \frac{\sum_{i=1}^k \frac{d_i}{\sigma_i^2}}{\sum_{i=1}^k \frac{1}{\sigma_i^2}} \quad (18)$$

That is fine, but we don't know  $\sigma_i$ , and an obvious way to proceed is to estimate it using the  $s_i$  values from the relation above. Unfortunately this provides a biased estimate for both  $D$  and the variance in  $D$ . Rather than attempt to show this in full generality, we take a simple example which is related at least to the way we sum data for an individual channel from several exposures.

We suppose that we expect  $n$  photons in a single observation, and take  $k$  of them. then, using inverse variance estimate weighting scheme, we maximize the signal-to-noise ratio by forming

$$D = \frac{\sum_{i=1}^k \frac{d_i}{s_i^2}}{\sum_{i=1}^k \frac{1}{s_i^2}} \quad (19)$$

where (if there is no background noise component) we can take

$$s_i = \sqrt{d_i} \quad (20)$$

Then  $D$  becomes

$$D = \frac{k}{\sum_{i=1}^k \frac{1}{d_i}} \quad (21)$$

To see what is going on, set

$$d_i = n + \epsilon_i \quad (22)$$

where  $\epsilon_i$  is normally distributed about zero with variance  $n$ . Then

$$D = \frac{k}{\sum_{i=1}^k \frac{1}{n + \epsilon_i}} \quad (23)$$

i.e.

$$D = \frac{k}{\sum_{i=1}^k \frac{1}{n(1 + \frac{\epsilon_i}{n})}} \quad (24)$$

or

$$D = \frac{nk}{\sum_{i=1}^k \frac{1}{1+\frac{\epsilon_i}{n}}}. \quad (25)$$

Now

$$\frac{1}{1+\frac{\epsilon_i}{n}} = 1 - \frac{\epsilon_i}{n} + \left(\frac{\epsilon_i}{n}\right)^2 - \dots \quad (26)$$

so

$$D = \frac{n}{1 - \frac{1}{kn} \sum_{i=1}^k \epsilon_i + \frac{1}{kn^2} \sum_{i=1}^k \epsilon_i^2 - \dots} \quad (27)$$

$$= n \left( 1 + \frac{1}{kn} \sum_{i=1}^k \epsilon_i - \frac{1}{kn^2} \sum_{i=1}^k \epsilon_i^2 + \left( \frac{1}{kn} \sum_{i=1}^k \epsilon_i \right)^2 \dots \right) \quad (28)$$

We take each of these summation terms in turn, and ignore higher order terms. Generally those with odd powers will average to zero, and even powers contribute to higher orders of  $\frac{1}{n}$ .

- $\sum_{i=1}^k \epsilon_i$  has an expectation value zero and variance  $k\sigma^2$ , so  $\frac{1}{kn} \sum_{i=1}^k \epsilon_i$  has mean zero and  $1\sigma$  deviation  $\frac{1}{\sqrt{kn}}$ .
- The next term looks like the sum of variances, so an estimator for  $\sum_{i=1}^k \epsilon_i^2$  is  $k\sigma^2 = kn$ .
- The quantity  $\left(\sum_{i=1}^k \epsilon_i\right)^2$  can be estimated using the fact that the distribution of  $\sum_{i=1}^k \epsilon_i$  is a Gaussian with mean zero and variance  $kn$ , so for a variable  $x$  and  $\nu = \sqrt{kn}$  the distribution is

$$p(x)dx = \frac{1}{\sqrt{2\pi\nu}} \exp\left(-\frac{x^2}{2\nu^2}\right) dx \quad (29)$$

Setting  $y = x^2$  this becomes, for  $y \in [0, \infty]$

$$p(y)dy = \frac{2}{\sqrt{2\pi\nu}} \exp\left(-\frac{y}{2\nu^2}\right) \frac{1}{2\sqrt{y}} dy \quad (30)$$

where the extra 2 appears because  $x$  can be negative or positive for a given  $y$ .

Therefore

$$\langle y \rangle = \frac{1}{\sqrt{2\pi\nu}} \int_0^\infty \exp\left(-\frac{y}{2\nu^2}\right) \sqrt{y} dy \quad (31)$$

or setting  $2\nu^2 z = y$  this is

$$\langle y \rangle = \frac{2\nu^2}{\sqrt{\pi}} \int_0^\infty e^{-z} \sqrt{z} dz \quad (32)$$

$$= \frac{2\nu^2}{\sqrt{\pi}} \Gamma\left(\frac{3}{2}\right) \quad (33)$$

Now  $\Gamma(\frac{3}{2}) = \frac{1}{2}\Gamma(\frac{1}{2})$ , and  $\Gamma(\frac{1}{2}) = \sqrt{\pi}$ , so

$$\langle y \rangle = \nu^2 = kn \quad (34)$$

So the the corresponding term will on average be  $\frac{1}{kn}$ .

Bringing all these together the result is

$$\langle D \rangle = n \left( 1 + 0 - \frac{1}{n} + \frac{1}{kn} \right) \quad (35)$$

i.e.

$$\langle D \rangle = n - \left( 1 - \frac{1}{k} \right) \quad (36)$$

So  $\langle D \rangle$  is a biased estimator for the true counts  $n$ . And, unfortunately, the relative bias depends on the signal-to-noise ratio  $\sqrt{n}$  (though in this example the absolute bias does not).

This general result is known by optimal extraction people (e.g. Horne K., PASP, 98, 609, 1986; Marsh T. R., PASP, 101, 1032, 1989) and is the reason they effectively smooth the weights when adding into the overall profile.

For combining spectra (or anything) we need a better estimate of the relative local sigmas to provide the appropriate weights.

### B.3 Suitable weights for combining spectra

The error estimates  $S_j$  used in equations (12), (13) and (14) need to be based on estimates for the population values, and we have seen that single pixel estimates bias the data. The error ratios between spectra are likely to be smooth functions, depending primarily on blaze functions, camera functions, detector response and atmospheric extinction, most of which can be taken as varying slowly with wavelength over the scales of interest. Atmospheric absorption (and emission) can present problems, but if the airmasses are similar we might, to first order, expect that their contributions will mimic absorption lines (except where the heliocentric correction moves them in one spectrum relative to another).

With this in mind, can construct a median or mean or fitted variance for each spectrum, and try using those as weights. It may not matter much which is used, since all we need is something which

- scales with the variance in the same way between spectra, and
- is not biased by single pixel small fluctuations.

Then if we set weight  $u_j^k = \frac{1}{\langle (S_j^k)^2 \rangle}$ , where  $\langle \rangle$  is some average/median/fit over several  $j$  pixels evaluated at  $j$ , we can form a combined spectrum by using

$$\mathcal{F}'_j = \frac{\sum_k u_j^k F_j}{\sum_k u_j^k}. \quad (37)$$

Then, using equation (2) with  $w_{jk} = u_j^k / \sum_k u_j^k$ , the variance is

$$\left( S'_j \right)^2 = \frac{\sum_k (u_j^k S_j^k)^2}{\left( \sum_k u_j^k \right)^2} \quad (38)$$

and fluctuations

$$\left( \mathcal{E}'_j \right)^2 = \frac{\sum_k (u_j^k E_j^k)^2}{\left( \sum_k u_j^k \right)^2} \quad (39)$$

Again as a sanity check setting  $u_j^k = \frac{1}{(S_j^k)^2}$ , the individual pixel values, gives the answers we had in equations (12), (13) and (14).

The only thing left to worry about is which form one should use for  $u_j^k$ . Effectively we want a (relatively) unbiased quantity which scales as the local variance in the same way between spectra, and almost any scheme which results in some estimate based on the local properties over a range of pixels will do. You may wind up with something which is a little less than optimum in a S/N sense, but it will be less biased.

## B.4 Wavelength bias

In a footnote in section B.1 we noted a possible bias in wavelength estimates caused by rebinning. We return to this question using an illustrative example (we hope) based on an unrealistic absorption profile which is simple enough to see what is happening. We choose one which is just a triangle, so the flux  $f$  is unity for  $x \leq 0$  and  $x \geq 1$ , and in the range  $[0,1]$   $f = 1 - x$ . Then the mean wavelength

$$\lambda = \frac{\int_0^1 x(1-f)dx}{\int_0^1 (1-f)dx} = \frac{\int_0^1 x^2 dx}{\int_0^1 x dx} = \frac{2}{3}.$$

If we choose, for example, to record this profile in 3 data pixels  $x_i = [0, 1/3), [1/3, 2/3), [2/3, 1)$ , then these pixels are centered on  $x_i = 1/6, 3/6$  &  $5/6$ , with  $d_i = 1 - f_i$  values of  $1/6, 3/6$  &  $5/6$ . Then if we determine the wavelength by

$$\lambda' = \frac{\sum x_i d_i}{\sum d_i} = \frac{35}{36} / \frac{9}{6} = \frac{35}{36} \times \frac{2}{3} = 0.6481.$$

What is happening is clear - the centre of the pixel is being taken as the mean position for the line depth, while it should be further along because of the line shape. Things



obviously improve if you take a finer pixellation. Using five, for example, with  $x_i (= d_i) = 0.1, 0.3, 0.5, 0.7 \text{ \& } 0.9$  the result is  $\frac{99}{100} \times \frac{2}{3} = 0.660$

So for this profile just recording the data gives a wavelength bias if we use the central pixel wavelength as the appropriate one for the data in that pixel.

Now what happens if we take the data which was in three pixels, and rebin that to a five pixel set using the prescription in section B.1? The new values of the line depths  $d_i^p$  at each  $x_i$  are (expressed as fractions)

$x_i$	$d_i^p$
1/10	3/18
3/10	5/18
5/10	9/18
7/10	13/18
9/10	15/18

The mean wavelength is now

$$\lambda^p = \left( \frac{3 + 15 + 45 + 91 + 135}{180} \right) / \left( \frac{1 + 3 + 5 + 7 + 9}{10} \right) = \frac{289}{450} = 0.6422,$$

so we have added a further bias to the wavelength estimate.

This is a pathological example, but it does illustrate what may happen if e.g we have an asymmetric profile, which could arise, for example, by a blend of symmetric ones where there is a redshift - column density correlation. For the intrinsic shift problem it is a matter of modelling the profile correctly, and VPFIT (version 9.0 onwards) allows you to do this by computing the profiles at a finer level than the pixel size (see section 10). You can subsample a profile as far as you like - the cost is only in computing time and array space.

This does, of course, assume that the wavelength scale has been correctly determined from the comparison arc lines or whatever. In particular the comparison line positions are determined by suitably integrating the model profile which falls within each pixel in determining the centroid position. You should look at the documentation for whatever wavelength calibration package you use - if you are lucky it may tell you what is done, and if you are even luckier it may do it accurately.

For rebinning the data the solution is less clear. If the profile is symmetric, and centered either on the middle of a new pixel or the edge of one, then symmetry arguments show that there will be no wavelength shift. However if the new pixellation centers fall somewhere else there may be a shift. As an example the profile

996.0	1.0
997.0	1.0
998.0	1.0
999.0	1.0
1000.0	1.0
1001.0	0.875
1002.0	0.625
1003.0	0.375
1004.0	0.125

1005.0	0.125
1006.0	0.375
1007.0	0.625
1008.0	0.875
1009.0	1.0
1010.0	1.0
1011.0	1.0
1012.0	1.0
1013.0	1.0

which clearly has a centroid at 1004.50, was mapped onto pixels of width 0.85 in these wavelength units, with starting wavelength 997.75. The result is

1000.	1.0
1000.85	0.886029412
1001.7	0.691176471
1002.55	0.485294118
1003.4	0.279411765
1004.25	0.125
1005.1	0.132352941
1005.95	0.375
1006.8	0.588235294
1007.65	0.794117647
1008.5	0.9375
1009.35	1.0

which gives a mean wavelength of 1004.495039, i.e. a shift of 0.005 of the original pixel size.

The only lesson I can derive from this is - don't rebin the spectrum. This may mean you have to deal with each exposure individually (which brings some problems with continuum level matching) if you want wavelength accuracy.

## Index

- Adding ions, 24
- Adding Ly $\alpha$ , 24
- Ancillary programs
  - HEDIT, 65
- Atomic data, 50
  - extra parameters, 54
  - file format, 50
  - special ions, 50
    - continuum adjustment, 52
    - unidentified lines, 51
    - velocity shifts, 51
    - zero level adjustment, 53
- Changing component structure, 24
- Column densities
  - summed, 45
  - tied ratios, 46
- Combined spectra
  - biases, 68
  - weights, 71
- Continuum, 6
- Continuum adjustment, 52
- Cumulative line lists, 63
- Damping wings
  - lines outside regions, 30
- Data, 10
  - adjusting errors, 10
  - rescaling in VPFIT, 10
  - bad pixels, 11
- Displaying fits, 40
- Download source, 5
- Dropping systems, 30
- Environment variables, 12
  - ATOMDIR, 50
  - plot options, 12
  - VPFSETUP, 28
- File-based startup, 22
- Fine structure constant, 25
  - region velocity precision, 26
  - requirements, 26
- FITS header
  - CONTFIT, 6
    - editing, 65
  - RMSFILE, 7
  - SCLFILE, 10
  - SIGFILE, 6
  - wavelength coefficients, 8
  - WAVFILE, 7
- Fitted profiles
  - ASCII output, 41
- Fitting data
  - file-based startup, 22
  - multiple wavelength regions, 18
  - single wavelength region, 12
- Fitting simulated data, 55
  - multiple wavelength regions, 60
    - multiple ions, 61
    - single ions, 60
  - single region, single file, 58
  - single wavelength region, 55
- Fixed parameters, 43
- Fourth variable
  - fine structure constant, 25
- Including previous fits, 25
- Interactive startup, 12
  - multiple regions, 18
  - parameter estimation, 15
  - setting wavelength regions, 14
  - single wavelength region, 12
- Internal variables, 32
- Libraries
  - CFITSIO, 5
  - PGPLOT, 5
- Ly $\alpha$  fitting, 62
- Ly $\alpha$  forest, 62
- Lyman series fitting, 62
- Optional parameters, 23
- Output, 38
  - screen, 38
  - summary file, 39
- Parameter estimates

- reliability, 48
- Parameter file
  - initial values, 22
- Parameter limits, 29
  - dropping systems, 30
  - maximum column density, 30
  - maximum Doppler, 29
  - minimum column density, 29
  - minimum Doppler, 29
- Plot options
  - reset default colours, 12
- Plotting fits, 40
- Program parameters
  - adding ions, 24
  - including previous fits, 25
  - removing unnecessary ions, 24
- Rebinned data
  - estimating  $\chi^2$ , 68
- Region velocity shifts, 51
- Removing unnecessary ions, 24
- Resolution, 33
  - ASCII data, 8
  - header
    - file pointer, 33
    - value, 33
  - over-ride, 36
  - pixel instrument profile, 34
  - polynomial fit, 33
  - table, 33
- Setup parameters, 28
  - file, 28
  - guess line, 32
  - internal fix flag, 31
  - internal variable scaling, 31
  - parameter limits, 29
  - progress monitor, 31
  - stopping criteria, 31
- Simulated spectra, 55
- Spectral data, 6
  - ASCII
    - resolution, 8
  - ASCII format, 8
  - continuum, 6
  - error estimates, 6
    - theory, 66
  - FITS format, 6
  - FITS header, 8
    - wavelengths, 7
  - Startup from file, 22
  - Stopping criteria, 31
  - Summed column densities, 45
  - Summed spectra
    - weights, 68
  - Temperature estimation, 44
  - Tied parameters, 43
    - special cases, 28, 44
  - Unidentified lines, 24
  - Wavelength bias, 72
  - Wavelengths, 7
  - Weights for summing spectra, 68
  - Zero level adjustment, 53
    - setting limits, 30